

To: Joint Steering Committee for Development of RDA

From: Damian Iseminger, Chair, JSC Music Working Group

Subject: Revisions to 6JSC/MusicWG/13, Numeric Designation of a Musical Work (6.16)

Related documents:

6JSC/MusicWG/13  
6JSC/MusicWG/13/ACOC response  
6JSC/MusicWG/13/ALA response  
6JSC/MusicWG/13/CCC response  
6JSC/MusicWG/13/DNB response  
6JSC/MusicWG/13/LC response  
6JSC/MusicWG/13/UK response

## **Abstract**

This revision proposal contains changes suggested in the responses to 6JSC/MusicWG/13. The main body of the revision proposal contains the Working Group's preferred revisions based on all of the JSC responses, while the Appendix includes the more extensive suggestions from the ALA response.

## **Justification**

The purpose of this revised proposal is to take into account comments from the JSC responses regarding 6JSC/MusicWG/13

## **Issues requiring resolution**

The following changes have been made to 6JSC/MusicWG/13, based on JSC responses:

- a) **6.16.1.1:** The Working Group has replaced the language it suggested for the additional paragraph of the scope statement with the language suggested by ALA.
- b) **6.16.1.3:** A list of element names has been added as suggested by ALA.
- c) **6.16.1.3:** The Working Group suggests the placement of an example box illustrating the Alternative for language.
- d) **6.16.1.3:** The Working Group has replaced the language referencing 1.8 with some of the language suggested by LC. The Working Group has modified the phrase "Record numbers expressed as numerals" to "Record numbers as numerals" to match language found elsewhere in RDA.
- e) **6.16.1.3:** The instruction concerning inclusive numbering has been modified. The word "aggregate" has been removed and has not been replaced, as suggested by ACOC.

- f) **6.16.1.3.1:** The original Working Group instruction for recording a number appearing alone as a cardinal numeral has been retained. The Working Group believes that “form of numeral” refers specifically to whether the numeral is Roman or Arabic (see 1.8.2) and does not also cover whether the numeral is cardinal or ordinal.
- g) **6.16.1.3.1:** The suggestion made by LC for changes in language to the final paragraph concerning different forms of serial number have been made.
- h) **6.16.1.3.1 example box 2:** The examples using “libro” have been modified to “bk.” to match the main instruction proposed for language at 6.16.1.3.
- i) **6.16.1.3.2:** An additional sentence has been added to precede the opus number with the abbreviation for the word opus, as suggested in the ALA response.
- j) **6.16.1.3.2 examples:** The Working Group agrees with ALA that the example explanations should be modified.
- k) **6.16.1.3.3:** The text is the same as it was proposed in 6JSC/MusicWG/13.
- l) **Glossary:** Definition modified to match the ALA modifications in a)

For a discussion of the more extensive changes suggested by ALA, please see the Appendix.

### Impact of the revisions

As with 6JSC/MusicWG/13, the proposed revisions will mostly clarify the current practice of recording serial, opus, and thematic index numbers for works and should have little impact on the work of current catalogers.

### Change #1: 6.16.1.1, Scope

#### Marked-up version

#### 6.16.1.1 Scope

A **numeric designation of a musical work**▼ is a serial number, opus number, or thematic index number assigned to a musical work by a composer, publisher, or a musicologist.

A numeric designation of a musical work may include a numeral, a letter, a word, any other character, or a combination of these. A numeric designation includes a caption (e.g., no., op., BWV).

#### Clean version

#### 6.16.1.1 Scope

A **numeric designation of a musical work**▼ is a serial number, opus number, or thematic index number assigned to a musical work by a composer, publisher, or a musicologist.

A numeric designation of a musical work may include a numeral, a letter, a word, any other character, or a combination of these. A numeric designation includes a caption (e.g., no., op., BWV).

## Change #2: 6.16.1.3, Recording Numeric Designations of Musical Works

### Marked-up version

### 6.16.1.3 Recording Numeric Designations of Musical Works

Record as many of the following numeric designations of a musical work as can readily be ascertained:

serial number (see 6.16.1.3.1)

opus number (see 6.16.1.3.2)

thematic index number (see 6.16.1.3.3).

Record the numeric designation in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

#### Alternative

Record the numeric designation in the language in which it appears on the sources from which it is taken.

#### EXAMPLE

[The Working Group suggests that an example illustrating the alternative be provided]

Record numbers as numerals. Record numerals in the form preferred by the agency creating the data. Use abbreviations as instructed in appendix B (B.5.4). Use inclusive numbering for ~~an aggregate~~ a work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes. Record a caption accompanying inclusive numbering in the singular.

Record numeric designations of a musical works as separate elements, as parts of access points, or as both. For instructions on recording a numeric designation of a musical work as part of the authorized access point, see [6.28.1.9–6.28.1.10](#).

## Clean version

### 6.16.1.3 Recording Numeric Designations of Musical Works

Record as many of the following numeric designations of a musical work as can readily be ascertained:

- serial number (see [6.16.1.3.1](#))
- opus number (see [6.16.1.3.2](#))
- thematic index number (see [6.16.1.3.3](#)).

Record the numeric designation in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

#### *Alternative*

Record the numeric designation in the language in which it appears on the sources from which it is taken.

#### EXAMPLE

[The Working Group suggests that an example illustrating the alternative be provided]

Record numbers as numerals. Record numerals in the form preferred by the agency creating the data. Use abbreviations as instructed in appendix B ([B.5.4](#)). Use inclusive numbering for a work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes. Record a caption accompanying inclusive numbering in the singular.

Record numeric designations of a musical work as separate elements, as parts of access points, or as both. For instructions on recording a numeric designation of a musical work as part of the authorized access point, see [6.28.1.9–6.28.1.10](#).

#### **Change #3: 6.16.1.3.1, Serial Number**

## Marked-up version

### 6.16.1.3.1 Serial Number

~~If works with the same title and the same medium of performance are consecutively numbered in music reference sources, record Record the serial number or numbers, if any. If a serial number has no caption associated with it, record the number as a cardinal numeral and precede it with the abbreviation for *number* (see appendix B (B.5.4)).~~

#### EXAMPLE

no. 2

~~Resource described: String quartet no. 2 / Eleanor Cory. Preferred title: Quartets; medium of performance: violins (2), viola, cello~~

no. 5

~~Resource described: Fifth symphony for orchestra / by Arnold Bax. Preferred title: Symphonies; medium of performance: orchestra~~

no. 6-8

~~Resource described: Violin sonatas op. 30 nos. 1-3 / Beethoven. Preferred title: Sonatas; medium of performance: violin, piano; opus number: op. 30. Beethoven's violin sonatas no. 6-8 also known as his opus 30, no. 1-3~~

[Retain current examples, with changes above. Additional example(s) needed for distinctive titles that have serial numbers]

~~*if:*~~

~~different works in a consecutively numbered series have different forms of numeric designation, or different words introducing the number~~

~~*and*~~

~~the different forms or words are in the same sources from which the numeric designations for the individual works are taken~~

~~*then:*~~

~~select one form of numeric designation and use it for all the works in the series.~~

When sources for a consecutively numbered series of works use different forms of numeric designation (i.e., ordinal and cardinal numbers or different captions), select one form of numeric designation and use it for all the works in the series.

#### EXAMPLE

1st book bk.

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

~~2nd book~~ bk.

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

~~4o libro~~ 1st bk.

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio

~~3o libro~~ 3rd bk.

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo

## Clean version

### 6.16.1.3.1 Serial Number

Record the serial number or numbers, if any. If a serial number has no caption associated with it, record the number as a cardinal numeral and precede it with the abbreviation for *number* (see appendix B (B.5.4)).

#### EXAMPLE

no. 2

**Resource described:** String quartet no. 2 / Eleanor Cory.

no. 5

**Resource described:** Fifth symphony for orchestra / by Arnold Bax.

no. 6-8

**Resource described:** Violin sonatas op. 30 nos. 1-3 / Beethoven. **Beethoven's violin sonatas no. 6-8 also known as his opus 30, no. 1-3**

[Retain current examples, with changes above. Additional example(s) needed for distinctive titles that have serial numbers]

When sources for a consecutively numbered series of works use different forms of numeric designation (i.e., ordinal and cardinal numbers or different captions), select one form of numeric designation and use it for all the works in the series.

#### EXAMPLE

1st bk.

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

2nd bk.

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

1st bk.

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio

3rd bk.

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo

## Change #4: Opus Number Examples

### Marked-up version

#### 6.16.1.3.2 Opus Number

Record the opus number or numbers, if any, and the number within the opus, if any. Precede the opus number with the abbreviation for *opus* (see appendix B (B.5.4)).

#### EXAMPLE

op. 114

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. ~~Preferred title:~~ Quintets; ~~medium of performance:~~ piano, violin, viola, violoncello, double bass

op. 2, no. 1

**Resource described:** Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven. ~~Preferred title:~~ Sonatas; ~~medium of performance:~~ piano; ~~serial number:~~ no. 1

op. 2, no. 2

**Resource described:** Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven. ~~Preferred title:~~ Sonatas; ~~medium of performance:~~ piano; ~~serial number:~~ no. 2

*If:*

there is a conflict in opus numbering among works of the same title and medium

*or*

the overall opus numbering of a composer's works is confused and conflicting

*then:*

add to the opus number the name of the publisher originally using the number chosen. Add the publisher's name in parentheses.

#### EXAMPLE

op. 6 (Roger)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorder, continuo. **Published originally by Roger as op. 6; later published as op. 5**

op. 6 (Walsh)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorders (2). **Published originally by Walsh as op. 6; Walsh used a different opus number from Roger**

## Clean version

### 6.16.1.3.2 Opus Number

Record the opus number or numbers, if any, and the number within the opus, if any. Precede the opus number with the abbreviation for *opus* (see appendix B (B.5.4)).

#### EXAMPLE

op. 114

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil.

op. 2, no. 1

**Resource described:** Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven.

op. 2, no. 2

**Resource described:** Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven.

*If:*

there is a conflict in opus numbering among works of the same title and medium

*or*

the overall opus numbering of a composer's works is confused and conflicting

*then:*

add to the opus number the name of the publisher originally using the number chosen. Add the publisher's name in parentheses.

#### EXAMPLE

op. 6 (Roger)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorder, continuo. **Published originally by Roger as op. 6; later published as op. 5**

op. 6 (Walsh)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorders (2). **Published originally by Walsh as op. 6; Walsh used a different opus number from Roger**



## Change #5: Thematic index number

### Marked-up version

#### 6.16.1.3.3 Thematic Index Number

In the case of certain composers, record Record the number or numbers assigned to a work in a recognized thematic index. Precede the number or numbers by:

the initial letter or letters of the musicologist's name (e.g., K. 453<sup>1</sup>)

*or*

a generally accepted abbreviation (e.g., BWV 232<sup>2</sup>).

#### EXAMPLE

D. 667

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. **Preferred title:** Quintets; **medium of performance:** piano, violin, viola, violoncello, double bass

H. III, 37-42

**Resource described:** 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter. **Preferred title:** Quartets; **medium of performance:** violins (2), viola, cello; **opus number:** op. 33

BWV 1046-1051

**Resource described:** Brandenburg concertos = Les concertos brandebourgeois / J.S. Bach. **Preferred title:** Brandenburgische Konzerte

<sup>1</sup>Ludwig Köchel, Chronologisch-thematisches Verzeichnis sämtlicher Tonwerke Wolfgang Amadé Mozart s, 8., unveränderte Aufl. (Wiesbaden: Breitkopf & Härtel, 1983, ©1964).

<sup>2</sup>Wolfgang Schmieder, Thematisch-systematisches Verzeichnis der musikalischen Werke von Johann Sebastian Bach, Bach-Werke-Verzeichnis (BWV). 3., unveränderte Aufl. (Leipzig: Breitkopf & Härtel Musikverlag, 1961, ©1950).

### Clean version

#### 6.16.1.3.3 Thematic Index Number

Record the number or numbers assigned to a work in a recognized thematic index. Precede the number or numbers by:

the initial letter or letters of the musicologist's name (e.g., K. 453<sup>1</sup>)  
*or*  
a generally accepted abbreviation (e.g., BWV 232<sup>2</sup>).

#### EXAMPLE

D. 667

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil.

H. III, 37-42

**Resource described:** 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter.

BWV 1046-1051

**Resource described:** Brandenburg concertos = Les concertos brandebourgeois / J.S. Bach. **Preferred title:** Brandenburgische Konzerte

<sup>1</sup>Ludwig Köchel, Chronologisch-thematisches Verzeichnis sämtlicher Tonwerke Wolfgang Amadé Mozart s, 8., unveränderte Aufl. (Wiesbaden: Breitkopf & Härtel, 1983, ©1964).

<sup>2</sup>Wolfgang Schmieder, Thematisch-systematisches Verzeichnis der musikalischen Werke von Johann Sebastian Bach, Bach-Werke-Verzeichnis (BWV). 3., unveränderte Aufl. (Leipzig: Breitkopf & Härtel Musikverlag, 1961, ©1950).

## Change #6: Definition of numeric designation of a musical work

### Marked-up version

#### Glossary

**numeric designation of a musical work:** A serial number, opus number, or thematic index number assigned to a musical work by a composer, publisher, or a musicologist. A numeric designation of a musical work may include a numeral, a letter, a word, any other character, or a combination of these. A numeric designation includes a caption (e.g., no., op., BWV).

### Clean version

#### Glossary

**numeric designation of a musical work:** A serial number, opus number, or thematic index number assigned to a musical work by a composer, publisher, or a musicologist. A numeric designation of a musical work may include a numeral, a letter, a word, any other character, or a combination of these. A numeric designation includes a caption (e.g., no., op., BWV).

### **Other changes in RDA**

This proposal does not affect other instructions or references to/from other instructions.

### **Changes to the RDA Element Set**

The change in the definition of numeric designation of a musical work could cause revision to the definition of the work property “has numeric designation of a musical work”.

## Appendix

Because of the broad agreement that this proposal enjoyed from most of the JSC, the Working Group felt that as much of the original form of 6JSC/MusicWG/13 should be maintained and therefore the more extensive suggestions from ALA regarding the instructions for form of number, language, etc. were not incorporated into the changes above. However, if other JSC constituencies found the changes that ALA proposed to be more compelling, those changes (with modifications suggested by the Working Group) are given below.

For 6.16.1.3.1, ALA suggested two options for replacement. Both options are presented below.

In 6.16.1.3.1 ALA option 1, the Working Group has made the following changes:

- a) The Working Group has modified the sentence “Record the caption in a language preferred by the agency creating the data” to read “Record the caption and numeral in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.” The Working Group believes that the numeral should also be included, since ordinal numerals have different forms depending on the language.
- b) The Working Group has added an Alternative to use the language of the serial number as it appears on the source. Past application of RDA suggests that users have interpreted the “language preferred by the agency” statement to mean that the language used in recording the element should be in the language officially spoken in the agency.
- c) The Working Group has used the text suggested by LC for differing forms of serial numbering

In 6.16.1.3.1 ALA option 2, the Working Group has made the following changes:

- a) The Working Group has specified that a cardinal numeral is to be recorded, which we believe is the intention of this option.
- b) As with option 1, we have included the Alternative to record the caption in the language as found on the source of information.
- c) The word “numeral” has been struck out of the sentence regarding the recording of a number when it has no caption. Since the instruction already specifies a cardinal numeral must be recorded, this is superfluous.
- d) The explanations following the Merulo examples have been deleted. The explanations should not indicate that another agency could have done something differently when the example shows the choices made by another agency.
- e) An additional paragraph has been added for different forms of the caption. The Dowland examples have been moved after this paragraph.

ALA option 2 would represent a change in practice for recording serial numbers, since the numeral would always be in cardinal form. Some Working Group members found this appealing for reasons of instruction simplification. In all cases the caption would be in the language of the agency and the numeral would always be in cardinal form. Additionally, in some situations, ALA option 2 would have benefits. For instance, a designation of “libro terzo” would be rendered as “bk. 3” in English. If the ordinal number were kept (as it is in the Working Group proposal and ALA option 1), it could lead one to record the serial number as Bk. 3rd, if the instructions for recording numeric designations were applied pedantically.

The Working Group has also made a further change to 6.16.1.3.2. Because the ALA proposal specifies that *opus* must be used, the Working Group feels that it must also specify the type of numeral, i.e. cardinal or ordinal. The Working Group has inserted the word “cardinal” before “numeral” in the instruction.

The other changes suggested by ALA to 6.16.1.3 and 6.16.1.3.3 are presented with no modification.

#### **Appendix Change #1: 6.16.1.1, Scope**

*Same as that proposed above in Change #1*

#### **Appendix Change #2: 6.16.1.3, Recording Numeric Designations of Musical Works**

##### **Marked-up version**

### **6.16.1.3 Recording Numeric Designations of Musical Works**

Record as many of the following numeric designations of a musical works-as can readily be ascertained:-

serial number (see 6.16.1.3.1)

opus number (see 6.16.1.3.2)

thematic index number (see 6.16.1.3.3).

~~Use abbreviations as instructed in appendix B (B.5.4). Use inclusive numbering for an aggregate work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes.~~

Record numeric designations of a musical works as separate elements, as parts of access points, or as both. For instructions on recording a numeric designation of a musical work as part of the authorized access point, see [6.28.1.9–6.28.1.10](#).

## Clean version

### 6.16.1.3 Recording Numeric Designations of Musical Works

Record as many of the following numeric designations of a musical work as can readily be ascertained:

- serial number (see [6.16.1.3.1](#))
- opus number (see [6.16.1.3.2](#))
- thematic index number (see [6.16.1.3.3](#)).

Record numeric designations of a musical work as separate elements, as parts of access points, or as both. For instructions on recording a numeric designation of a musical work as part of the authorized access point, see [6.28.1.9–6.28.1.10](#).

## Appendix Change #3a: 6.16.1.3.1, Serial Number

**Marked-up version** – *uses text from ALA option 1, would replace current 6.16.1.3.1*

### 6.16.1.3.1 Serial Number

Record the serial number or numbers of a musical work. Record numbers as numerals. Record numerals in the form preferred by the agency creating the data.

Record the caption and numeral in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

#### Alternative

Record the caption and numeral in the language in which it appears on the sources from which it is taken.

**EXAMPLE**

[The Working Group suggests that an example illustrating the alternative be provided]

Use abbreviations as instructed in appendix B (B.5.4). If a serial number has no caption associated with it, record the number as a cardinal numeral and precede it with the abbreviation for *number*.

~~If works with the same title and the same medium of performance are consecutively numbered in music reference sources, record the number.~~

#### EXAMPLE

no. 2

~~Resource described:~~ String quartet no. 2 / Eleanor Cory. ~~Preferred title:~~ Quartets; ~~medium of performance:~~ violins (2), viola, cello

no. 5

~~Resource described:~~ Fifth symphony for orchestra / by Arnold Bax. ~~Preferred title:~~ Symphonies; ~~medium of performance:~~ orchestra

no. 6-8

~~Resource described:~~ Violin sonatas op. 30 nos. 1-3 / Beethoven. ~~Preferred title:~~ Sonatas; ~~medium of performance:~~ violin, piano; ~~opus number:~~ op. 30. ~~Beethoven's violin sonatas no. 6-8 also known as his opus 30, no. 1-3~~

[Retain current examples, with changes above. Additional example(s) needed for distinctive titles that have serial numbers]

~~If:~~

~~different works in a consecutively numbered series have different forms of numeric designation, or different words introducing the number~~

~~and~~

~~the different forms or words are in the same sources from which the numeric designations for the individual works are taken~~

~~then:~~

~~select one form of numeric designation and use it for all the works in the series.~~

When sources for a consecutively numbered series of works use different forms of numeric designation (i.e., ordinal and cardinal numbers or different captions), select one form of numeric designation and use it for all the works in the series.

#### EXAMPLE

1st book bk.

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

2nd book bk.

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

~~4o libro~~ 1st bk.

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio

~~3o libro~~ 3rd bk.

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo

## Clean version

### 6.16.1.3.1 Serial Number

Record the serial number or numbers of a musical work. Record numbers as numerals. Record numerals in the form preferred by the agency creating the data.

Record the caption and numeral in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

#### *Alternative*

Record the caption and numeral in the language in which it appears on the sources from which it is taken.

#### EXAMPLE

[The Working Group suggests that an example illustrating the alternative be provided]

Use abbreviations as instructed in appendix B (B.5.4). If a serial number has no caption associated with it, record the number as a numeral and precede it with the abbreviation for *number*.

#### EXAMPLE

no. 2

**Resource described:** String quartet no. 2 / Eleanor Cory.

no. 5

**Resource described:** Fifth symphony for orchestra / by Arnold Bax.

no. 6-8



**Resource described:** Violin sonatas op. 30 nos. 1-3 / Beethoven. **Beethoven's violin sonatas no. 6-8 also known as his opus 30, no. 1-3**

[Retain current examples, with changes above. Additional example(s) needed for distinctive titles that have serial numbers]

When sources for a consecutively numbered series of works use different forms of numeric designation (i.e., ordinal and cardinal numbers or different captions), select one form of numeric designation and use it for all the works in the series.

#### EXAMPLE

1st bk.

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

2nd bk.

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

1st bk.

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio

3rd bk.

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo

### Appendix Change #3b: 6.16.1.3.1, Serial Number

Marked-up version - *uses text from ALA option 2, would replace current 6.16.1.3.1*

#### 6.16.1.3.1 Serial Number

Record the serial number or numbers of a musical work. Record the number as a cardinal numeral.

Record the caption in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

#### Alternative

Record the caption in the language in which it appears on the sources from which it is taken.

Use abbreviations as instructed in appendix B (B.5.4). If a serial number has no caption associated with it, record the number as a numeral and precede it with the abbreviation for *number*.

**EXAMPLE**

no. 2

**Resource described:** String quartet no. 2 / Eleanor Cory.

no. 5

**Resource described:** Fifth symphony for orchestra / by Arnold Bax.

~~bk. 1~~

~~**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell~~

~~bk. 2~~

~~**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart~~

bk. 1

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio. ~~Italian caption recorded based on the preference of the cataloguing agency~~

bk. 3

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo. ~~Italian caption recorded based on the preference of the cataloguing agency~~

If sources for a consecutively numbered series of works use different captions, select one caption and use it for all the works in the series.

**EXAMPLE**

bk. 1

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

bk. 2

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

**Clean version**

**6.16.1.3.1 Serial Number**

Record the serial number or numbers of a musical work. Record the number as a cardinal numeral.

Record the caption in a language preferred by the agency creating the data if there is a satisfactory equivalent in that language.

### *Alternative*

Record the caption in the language in which it appears on the sources from which it is taken.

Use abbreviations as instructed in appendix B (B.5.4). If a serial number has no caption associated with it, record the number and precede it with the abbreviation for *number*.

#### EXAMPLE

no. 2

**Resource described:** String quartet no. 2 / Eleanor Cory.

no. 5

**Resource described:** Fifth symphony for orchestra / by Arnold Bax.

bk. 1

**Resource described:** Il primo libro de ricercari da cantare : a quattro voci / di Claudio Merulo da Correggio.

bk. 3

**Resource described:** Ricercari da cantare : a quattro voci : libro terzo / di Claudio Merulo.

If sources for a consecutively numbered series of works use different captions, select one caption and use it for all the works in the series.

#### EXAMPLE

bk. 1

**Resource described:** The first set of songs : in four parts / composed by John Dowland ; scored from the first edition, printed in the year 1597, and preceded by a life of the composer by W. Chappell

bk. 2

**Resource described:** Second book of songs (1600) / John Dowland ; edited by Edmund H. Fellows ; revised by Thurston Dart

## Appendix Change #4: Opus number

### Marked-up version

#### 6.16.1.3.2 Opus Number

Record the opus number or numbers, ~~if any, and~~ Record the number as a cardinal numeral and precede it with the abbreviation for *opus* (see appendix B (B.5.4)). Record the number within the opus, if any, by applying the instructions at 6.16.1.3.1.

#### EXAMPLE

op. 114

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. ~~Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass~~

op. 2, no. 1

**Resource described:** Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven. ~~Preferred title: Sonatas; medium of performance: piano; serial number: no. 1~~

op. 2, no. 2

**Resource described:** Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven. ~~Preferred title: Sonatas; medium of performance: piano; serial number: no. 2~~

*If:*

there is a conflict in opus numbering among works of the same title and medium

*or*

the overall opus numbering of a composer's works is confused and conflicting

*then:*

add to the opus number the name of the publisher originally using the number chosen. Add the publisher's name in parentheses.

#### EXAMPLE

op. 6 (Roger)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorder, continuo. **Published originally by Roger as op. 6; later published as op. 5**

op. 6 (Walsh)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorders (2). **Published originally by Walsh as op. 6; Walsh used a different opus number from Roger**

## Clean version

### 6.16.1.3.2 Opus Number

Record the opus number or numbers. Record the number as a numeral and precede it with the abbreviation for *opus* (see appendix B (B.5.4)). Record the number within the opus, if any, by applying the instructions at 6.16.1.3.1.

#### EXAMPLE

op. 114

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil.

op. 2, no. 1

**Resource described:** Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven.

op. 2, no. 2

**Resource described:** Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven.

*If:*

there is a conflict in opus numbering among works of the same title and medium

*or*

the overall opus numbering of a composer's works is confused and conflicting

*then:*

add to the opus number the name of the publisher originally using the number chosen. Add the publisher's name in parentheses.

#### EXAMPLE

op. 6 (Roger)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorder, continuo. **Published originally by Roger as op. 6; later published as op. 5**

op. 6 (Walsh)

**Preferred title for work by Robert Valentine:** Sonatas; **medium of performance:** recorders (2). **Published originally by Walsh as op. 6; Walsh used a different opus number from Roger**

## Appendix Change #5: Thematic index number

### Marked-up version

#### 6.16.1.3.3 Thematic Index Number

Record the number or numbers assigned to a work as found in a thematic index for the composer. If the thematic index number has no caption associated with it, precede  
~~In the case of certain composers, record the number assigned to a work in a recognized thematic index. Precede the number by:~~

the initial letter or letters of the musicologist's name (e.g., K. 453<sup>1</sup>)

*or*

a generally accepted abbreviation (e.g., BWV 232<sup>2</sup>).

#### EXAMPLE

D. 667

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. ~~Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass~~

H. III, 37-42

**Resource described:** 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter. ~~Preferred title: Quartets; medium of performance: violins (2), viola, cello; opus number: op. 33~~

BWV 1046-1051

**Resource described:** Brandenburg concertos = Les concertos brandebourgeois / J.S. Bach. **Preferred title:** Brandenburgische Konzerte

<sup>1</sup>Ludwig Köchel, Chronologisch-thematisches Verzeichnis sämtlicher Tonwerke Wolfgang Amadé Mozarts, 8., unveränderte Aufl. (Wiesbaden: Breitkopf & Härtel, 1983, ©1964).

<sup>2</sup>Wolfgang Schmieder, Thematisch-systematisches Verzeichnis der musikalischen Werke von Johann Sebastian Bach, Bach-Werke-Verzeichnis (BWV). 3., unveränderte Aufl. (Leipzig: Breitkopf & Härtel Musikverlag, 1961, ©1950).

## Clean version

### 6.16.1.3.3 Thematic Index Number

Record the number or numbers assigned to a work as found in a thematic index for the composer. If the thematic index number has no caption associated with it, precede the number by:

the initial letter or letters of the musicologist's name (e.g., K. 453<sup>1</sup>)

*or*

a generally accepted abbreviation (e.g., BWV 232<sup>2</sup>).

#### EXAMPLE

D. 667

**Resource described:** Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil.

H. III, 37-42

**Resource described:** 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter.

BWV 1046-1051

**Resource described:** Brandenburg concertos = Les concertos brandebourgeois / J.S. Bach. **Preferred title:** Brandenburgische Konzerte

<sup>1</sup>Ludwig Köchel, Chronologisch-thematisches Verzeichnis sämtlicher Tonwerke Wolfgang Amadé Mozart s, 8., unveränderte Aufl. (Wiesbaden: Breitkopf & Härtel, 1983, ©1964).

<sup>2</sup>Wolfgang Schmieder, Thematisch-systematisches Verzeichnis der musikalischen Werke von Johann Sebastian Bach, Bach-Werke-Verzeichnis (BWV). 3., unveränderte Aufl. (Leipzig: Breitkopf & Härtel Musikverlag, 1961, ©1950).

### **Appendix Change #6: Definition of numeric designation of a musical work**

*Same as that proposed above in Change #6*