

Revising AACR2 to Accommodate Seriality:

Rule Revision Proposals

Prepared by

JEAN HIRONS

and members of the

CONSER AACR Review Task Force

Submitted to the

Joint Steering Committee for Revision of AACR

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TO: Joint Steering Committee for Revision of AACR

FR: Jean Hirons and CONSER Task Force on AACR Review

1. Introduction

The following rule revisions are based on the JSC's decisions on recommendations in the report "Revising AACR2 to Accommodate Seriality" and subsequent email correspondence with Ann Huthwaite. One of the recommendations, to add rules for integrating resources, was very broad and has had a significant impact on the chapter. The addition of rules for both loose-leaf publications and electronic resources that are continuing in nature has necessitated the addition of new rules, the reorganization of some rules, and the need to highlight words explaining what the rule covers. The decision was made early on to "integrate" the rules for integrating resources with those for serials in order to reduce duplication and because we understand that this reflects the future direction of the code.

After some consideration of the scope of the chapter, the decision was made to focus on seriality and leave rules that are purely electronic-based (e.g., 9.3) in chapter 9. Thus, chapter 12 covers those aspects of cataloging of electronic journals, databases, and Web sites that are related to their seriality (e.g., how to accommodate change) and is to be used in conjunction with chapter 9. To do otherwise would have made chapter 12 quite out of synch with the rest of the code. Nor was it possible to fully accommodate all nonprint formats.

Finally, since there has never been a major revision of chapter 12, a number of rules needed to be changed and serious omissions accommodated. For example, there was no rule for notes relating to edition statements and the rule for title variation notes did not contain an instruction of subsequent changes to the title proper. Thus, the rule revision proposals contained in this package form the first major revision of chapter 12.

Following is a list of general changes that have been made. Separate rationales are added following the rules, when they involve more than obvious wording changes.

- ✓ Only rules that are being recommended for change and their headings are included.
- ✓ Wording has been changed to cover the increased scope of the chapter. When a rule would be equally applicable to both serial and integrating resources, the term *serial* has been replaced by *continuing resource*.
- ✓ When a rule covers only serials, loose-leaf publications, integrating resources, etc., the specific term is highlighted with the use of ***bold italics***. When a rule covers all continuing resources, no highlighting has been used.
- ✓ Words relating to card catalog format have been struck for JSC's consideration (e.g., 12.3B1).

- ✓ Revisions already approved but not yet published have been included only when further revisions are being recommended.
- ✓ While the rules for title changes are included in [chapter 21](#) below, references to the appendix have been added in the appropriate places with a “X” following to indicate that we don’t know the designation of the appendix.
- ✓ A search of all of AACR2 revealed a number of other places in the code where the term “serial” is used and this has resulted in further changes, when deemed necessary.
- ✓ The addition of a new rule for notes relating to edition statements meant renumbering several of the subsequent note rules. However, there are currently gaps in the numbering so not all of the rules required renumbering. A consideration for the JSC is whether to renumber all subsequent rules.
- ✓ Only the most basic rules for loose-leaf publications have been added. It is assumed that loose-leaf catalogers will rely on a revised version of Adele Hallam’s *Cataloging rules for the description of looseleaf publications* for all of the details concerning the cataloging of loose-leaf publications.
- ✓ Because multipart items share some important characteristics with continuing resources, some consideration may need to be given to the relevant rules. A [discussion of these issues](#), prepared by Judith Kuhagen of the Library of Congress, is included as the final section of this document.

2. A Note on Harmonization Efforts

A major goal of the seriality revisions from the beginning has been the harmonization of practices with the ISBD(S) and ISSN international standards, both of which are currently in the process of revision. Depending on how much is agreed upon at a meeting of experts, to be held at the end of May 2000, further substantial changes could be made to Chapter 12. These could include:

- ✓ The introduction of an “**international standard title (IST)**” that would replace the key title and, in many cases, the uniform title.
- ✓ **Basis of the description (12.1B1)**. Description from the latest issue for serials may be revisited if the IST is adopted affecting rules for basis of the description (12.1B1) and associated rules for changes and notes throughout the code.
- ✓ **Title changes** (included here in 21.2A1). A more substantial revision of the rule for title changes could be agreed to, resulting in more categories being considered as minor and the creation of fewer new records.
- ✓ **Title proper**. Revisions to the rules for title proper may be needed in order to standardize transcription in support of the IST.

3. Acknowledgments and Appreciation

The process of revising AACR2 to accommodate new forms of publication and to better accommodate seriality in general has been a thoroughly rewarding experience. I want to thank the Joint Steering Committee for the Revision of AACR for their confidence in me and the CONSER Program and for giving us the opportunity to perform this revision.

While many catalogers have contributed their ideas, thanks must be given to the following people who have worked long and tirelessly throughout this revision process. The process began during ALA Midwinter in 1996 with the formation of the CONSER AACR Review Task Force. Those listed below have served on that task force, as noted.

John Attig (Pennsylvania State University), 2000
Jo Lynne Byrd (MIT, now at the Library of Virginia), 1996
Carroll Davis (Columbia University, now at the Library of Congress), 1999-2000
Crystal Graham (UC San Diego), 1996-2000
Les Hawkins (Library of Congress), 1998-2000
Karen Hsu (New York Public Library), 1996-1999
Judy Knop (American Theological Library Association), 1996-1999
Judith Kuhagen (Library of Congress), 1996-2000
Sara Shatford Layne (UCLA), chair, 1996-2000
Kristin Lindlan (University of Washington), 1996-2000
Jeffrey Myers-Hayer (Library of Congress), 1996-1998
Regina Reynolds (Library of Congress), 1996-2000
John Riemer (University of Georgia), 1996-2000
Ann Sitkin (Harvard University), 1998-2000

I want to extend special thanks to the following people: **Sara Shatford Layne**, for serving as the chair of the task force and for her many thoughtful ideas and contributions; **Regina Reynolds** and **Judy Kuhagen**, who worked closely with me at every step in the process; **Crystal Graham**, for her collaboration on the paper "Issues Related to Seriality" and her continued advice and support; and **John Attig**, for sharing his extensive knowledge of AACR2 and — to my great relief — for completing the final formatting of this text!

Jean Hirons
CONSER Coordinator
Library of Congress

28 February 2000

4. Revisions to Rules in Chapter 12

CHAPTER 12. ~~SERIALS~~ CONTINUING RESOURCES

Contents

12.8B ~~International Standard Serial Number (ISSN)~~ Standard number

12.0. GENERAL RULES

12.0A. Scope.

12.0A1. [Revised] ~~The rules in this chapter cover the description of serial publications of all kinds and in all media. Consult this chapter in conjunction with the chapter dealing with the physical form in which the serial is published. For example, in describing a serial motion picture, use both chapter 12 and chapter 7. The rules in this chapter cover the description of continuing resources of all kinds and in all media, including serials and integrating resources (e.g., loose-leaf publications, databases). They apply to numbered and unnumbered series when a comprehensive entry is created for the series itself. They focus on the continuing nature or seriality of resources and are applied in conjunction with rules specific to the media represented by particular continuing resources. For example, in describing an electronic journal, consult chapter 9 for rules specifically applying to the electronic aspects of the journal and chapter 12 for the continuing aspects. Apply chapter 12 to all integrating resources, whether finite or continuing.~~

Specific instructions in the rules that follow may apply to all continuing resources in general, only to serials, only to integrating resources, or only to other specific categories. The terminology used indicates the scope of the application in each case. In determining what is a serial and what is an integrating resource for these purposes, consider the presence of a designation (see 12.3) to indicate that the resource is a serial. See also <reference to introduction>.

Rationale: The rule has been rewritten to cover the expanded scope of the chapter and explain wording that will be used throughout. The fact that the chapter is used for all integrating resources and for series is also added.

12.0B. Sources of Information

12.0B1. Basis of the description [New] ~~Printed serials~~

Serials. Base the description of a serial on the first issue or, lacking this, on the earliest available issue. If a remote access serial is not organized in issues or issues do not contain relevant bibliographic information, base the description on the entire resource, according to 9.0B1.

Integrating resources. Base the description of an integrating resource, except the beginning date of publication, on the latest iteration of that resource.

Rationale: The aspect of “basis of the description” has been separated out from the chief source as this is one of the key factors that distinguishes continuing resources from single-part resources. A provision for remote serials was added because of the ways in which they can be presented.

12.0B2. ~~Nonprint serials~~ Chief source of information

Rationale: In order to make room for the rule on the basis of the description (12.0B1 above), the two rules for chief source of information (12.0B1 for printed serials and 12.0B2 for nonprint serials) have been combined into a new rule 12.0B2.

Printed continuing resources. The chief source of information for printed ~~serials~~ continuing resources is the title page¹ (~~whether published with the issues or published later or the title page substitute of the first issue of the serial. Failing this, the chief source is the title page of the first available issue.~~ The title page substitute for an item lacking a title page is (in this order of preference) the analytical title page, cover, caption, masthead, editorial pages, colophon, other pages. Specify the source used as the title page substitute in a note (see 12.7B3). If information traditionally given on the title page is given on facing pages, with or without repetition, treat the two pages as the title page.

For an *oriental nonroman script printed serial* continuing resource, use the colophon as the chief source of information if the colophon contains full bibliographic information and the following conditions apply:

- a) the page standing in the position of a title page bears only the title proper
- or b) the title page bears only a calligraphic version of the title proper
- or c) the title page bears only a western-language version of the title and other bibliographic information

For a *loose-leaf service* consisting of more than one bibliographic resource, see 1.0H1c.

¹ Hereafter in this chapter title page includes any substitute (including, for oriental publications, a colophon specified in 12.0B4~~2~~).

Nonprint continuing resources. Follow the instructions in subrule .0B of the relevant chapter for the medium in question to determine the chief source of information for nonprint continuing resources. For example, see 6.0B for the chief source of information for a sound recording.

For electronic resources, consider the entire resource to be the chief source according to 9.0B1. For **remote access electronic serials**, prefer a source associated with the first issue (rather than a home page for example) when the title given on that source is formally presented and is not in abbreviated form. For **direct access electronic serials**, prefer the physical carrier or its labels as the chief source of information.

Rationale: The rule has been divided between print and nonprint continuing resources because of the basic differences in the way the chief source is determined for them. A provision for loose-leaf publications was added. Under nonprint, a separate section for electronic covers two particular situations: remote serials where it is important that the title be taken from the first issue, when possible; and direct access serials, where the label is a better source of information for the identification of the work and changes to the title during subsequent check-in. This latter comment is based on LC comments to the chapter 9 revision that recommend that the carrier and its labels be considered part of the resource itself.

12.0B3. Prescribed sources of information. The prescribed source(s) of information for each area of the description of **printed serials and loose-leaf publications** are described below. For **nonprint continuing resources**, follow the instructions in subrule .0B in the relevant chapter in part I (e.g., for sources of information for an electronic journal, see 9.0B; for a serial sound recording, see 6.0B). Enclose information taken from outside the prescribed source(s) in square brackets.

AREA	PRESCRIBED SOURCES OF INFORMATION
Title and statement of responsibility	Title page
Edition	Title page, other preliminaries, colophon
Numeric and/or alphabetic, chronological, or other designation	The whole publication <u>resource</u>
Publication, distribution, etc.	The whole publication <u>resource</u>
Physical description	The whole publication <u>resource</u>
Series	Series title page, analytical title page, cover, caption, masthead, editorial pages, colophon, rest of publication <u>resource</u>
Note	Any source
Standard number and terms of availability	Any source

12.0F. Inaccuracies.

For inaccuracies in the title proper, see 12.1B1. In other cases, Ssee 1.0F.

Rationale: The JSC approved the recommendation to correct inaccuracies in the title. It has been pointed out that the actual instruction would be better placed in 12.1B1 since it only applies to the title and not the entire description.

12.1. TITLE AND STATEMENT OF RESPONSIBILITY AREA

12.1B. Title proper

12.1B1. Transcribe the title proper as instructed in 1.1B.

[Add to existing examples:]

Biological abstracts on compact disc

The Chicano database

The New York Times on the web

Amazon.com

Britannica online

The electronic journal of analytic philosophy

Correct obvious typographical errors when transcribing the title proper and give the title as it appears on the resource in a note. In any case of doubt whether the spelling of a word or words is incorrect, transcribe the spelling as found according to 1.0F.

Housing starts [GMD]

Note: Title appears on v.1, no. 1 as Housing sarts)

If the title proper includes any statement that mentions an earlier title, title absorbed, etc., that may or may not be grammatically linked to the rest of the title, do not transcribe such a statement as part of the title. Do not give the mark of omission. Give relationships with other serials bibliographic resources in a note (see 12.7B7).

International gas report

Note: Absorbed: World gas report

(*Title appears as International gas report, including World gas report*)

For omissions from the title proper for dates, names, or numbers, etc., that can be expected to vary, see 12.1B7.

~~If the title proper is not taken from the chief source of information or if, in a printed serial, it is taken from a title page substitute (see 12.0B1), give the source in a note (see 12.7B3).~~ Always give the source of the title proper in a note (see 12.7B3) for electronic resources. For other continuing resources, make such a note if the title proper is taken from a title page substitute (for printed resources) or from a source other than the chief source (for nonprint resources).

Rationale: Additional examples added for CD-ROM, Web sites, electronic journal. The instruction for correcting the title proper was added with an example. The instruction to make a source of title note was rewritten as a clarification; no change in policy.

12.1B2. When the title appears in full and in the form of an acronym or initialism in the chief source of information, choose the full form as the title proper unless the acronym or initialism is the only form of title presented in other locations in the serial resource.

Linguistics and language behavior abstracts

(Title appears in full and as LLBA in the chief source. Full title appears in other locations)

12.1B3. In case of doubt about whether a corporate body's name or an abbreviation of that name is part of the title proper, treat the name as such only if it is consistently so presented in various locations in the serial continuing resource (cover, home page, caption, masthead, issue contents pages, editorial pages, etc.) and/or when cataloguing retrospectively, in indexes, abstracts, or other lists.

Rationale: In addition to wording changes, new examples have been added for electronic journals.

12.1B4. If a serial continuing resource is a separately published section of, or supplement to, another serial continuing resource and its title proper as presented in the chief source of information consists of:

- a) the title common to all sections (or the title of the main serial resource)
- and b) the title of the section or supplement

and if these two parts [**... remainder of rule as currently stated**]

12.1B7. If the title includes a date, name, number, etc., that varies from issue to issue, omit this date, name, number, etc., and replace it by the mark of omission, unless it occurs at the beginning of the title, in which case do not give the mark of omission.

Report on the ... Conference on Development Objectives and Strategy

Supply estimates for the year ending ~~31st March~~ ...

Annual report *not* ... Annual report

Frommer's Washington, D.C. on \$... a day

(Title appears as: Frommer's Washington, D.C. on \$35 a day)

The annual report of Governor ...

(Title appears as: The annual report of Governor Rhodes. The name of the governor changes with each specific term of office)

Local rules of practice, as of ...

Rationale: "31st March" has been omitted because portions of the date are routinely omitted by catalogers. The last example was added as an example of a loose-leaf and shows a slight change in practice from Hallam (which omits "as of").

12.1B8. Changes If the title proper of a serial changes, make a new description (see 21.2C).

Serials. If a major change in title proper occurs, make a new description (see appendix X). If a minor change in title proper occurs, make a note of the change if it is considered to be important (see 12.7B4).

Integrating resources. If any change in title proper occurs, replace the title proper with the new title and give the earlier title in a note if considered to be important (see 12.7B4).

Rationale: The rule has been expanded to cover the aspect of major and minor changes for serials and to include integrating resources. It is unclear whether rule 21.2C will be retained or whether 12.1B8 and the appendix will suffice. If this is the case, the instruction for electronic serials given in 21.2C must be added to 12.1B8.

12.1D. Parallel titles

12.1D2. If, in the case of a ~~serial~~ continuing resource with a title proper made up of a title [... remainder of rule as currently stated]

12.1D3. Changes [New]

Serials. If a parallel title is added, omitted, or changed on subsequent issues or parts, make a note of such changes if considered to be important (see 12.7B5).

Integrating resources. If a parallel title is added, omitted, or changed on subsequent iterations, change the description to reflect the latest iteration and give the earlier parallel titles in a note if considered to be important (see 12.7B5).

Rationale: New rule added to cover changes and their different treatment.

12.1E. Other title information

12.1E1. ~~Transcribe other title information as instructed in 1.1E. For *continuing resources, with the exception of loose-leaf publications*, do not routinely transcribe other title information.~~ Instead, transcribe other title information if it falls within one of the categories below. If other title information is transcribed, give it according to 1.1E.

Red herring [GMD] : lesbian newsletter

12 millions d'immigrés [GMD] : feuille de lutte des travailleurs immigrés en Europe
= 12 milhões de imigrados : folha de luta dos operarios imigrados na Europa

[Other examples moved under subrule b)]

- a) When an acronym or initialism of the title and its full form appear in the chief source of information, treat the one not chosen as the title proper as other title information.

Twin Cities [GMD] : TC

REED [GMD] : review of environmental educational developments

~~Q [GMD] : question : the independent political review : arts, business, science~~

Ceramic forum international : CFI : Berichte der DKG

GACIRE [GMD] : gaceta de cooperación informativa regional

- b) If the statement of responsibility is imbedded in other title information, transcribe the entire statement as other title information.

The greenwood tree [GMD] : newsletter of the Somerset and Dorset Family History Society

941.1 [GMD] : newsletter of AAL in Scotland

- c) If the title proper consists solely of the name of a corporate body, conference, etc., supply other title information in square brackets to explain the title (see 1.1E6).

Hawaii Institute of Physics [GMD] : [report]

In all other cases, use judgment in deciding whether to transcribe other title information in the title and statement of responsibility area, in a note (see 12.7B5), or not at all.

Rationale: The rule reflects the changes agreed to by the JSC. It has been reordered and organized by category with the examples included under the appropriate categories. The example under category a) was replaced with an example that shows two kinds of other title information that are both required by the rule as revised. The examples under last paragraph were struck because: 1) it was decided not to give examples of the last provision; and 2) because the last example is already covered in chapter 1. The decision not to have examples under the last provision reflects the fear that catalogers will try to read too much into them. This a matter of institutional or individual judgment.

12.1E2. [New] For loose-leaf publications, always transcribe other title information unless it consists solely of words relating to the currency of the contents.

Texas rules of civil procedure

not: Texas rules of civil procedure : including amendments through order of December 5, 1983, effective April 1, 1984

Rationale: Because of the complexity of 12.1E1, it seems preferable to include instructions for loose-leaf publications in a separate rule. Loose-leaf publications have been given a separate rule to allow routine transcription of other title information based on current practice.

12.1E3. Changes [New]

Serials. If other title information has been recorded in the title and statement of responsibility area and that information changes on subsequent issues or parts, consider the significance of the change and make a note of the changes (see 12.7B5), give a “Subtitle varies” note, or ignore the change.

Integrating resources. If other title information is recorded in the title and statement of responsibility area and that information changes on later iterations, change the description and make a note of the change if considered to be important (see 12.7B5).

Rationale: A new rule has been added to instruct catalogers how to deal with changes. The rule makes it clear that a note explaining change is made only when other title information has been recorded somewhere in the record! It also offers a variety of approaches based on the significance of the change.

12.1F. Statements of responsibility

12.1F3. Editors

Continuing resources other than loose-leaf publications. Do not record as statements of responsibility statements relating to persons that are editors ~~of serials~~. If a statement relating to an editor is considered necessary by the cataloguing agency, give it in a note (see 12.7B6).

La cause du peuple [GMD]

Note: Founded, edited, and published by Jean-Paul Sartre

R.L.C.’s museum gazette [GMD]

Note: Compiled and edited by Richard L. Coulton with the assistance of voluntary aid

Loose-leaf publications. Record statements relating to persons that are editors as statements of responsibility.

/ edited by Cyril H. Wecht

/ John C. Minahan, Jr. ; adapted from M. Wagner, Minnesota legal forms

Rationale: The addition of loose-leaf publications made it necessary to split this rule as editors are routinely given as a statement of responsibility for loose-leaf publications but never for serials. The rule for serials has been expanded to cover all but loose-leaf publications with the idea that editors of continuing resources are likely to change and the serial approach is probably best.

12.1F4. In the case of a ~~serial~~ continuing resource with a title proper made up of a title common to a number of sections and a section or supplement title [... remainder of rule as currently stated]

12.1F5. Changes [New]

Serials. If persons or bodies recorded as a statement of responsibility change on subsequent issues or parts and these changes do not require a new description (see appendix X), make a note for the later persons or bodies (see 12.7B6).

Integrating resources. If persons or bodies recorded as a statement of responsibility change in subsequent iterations, change the description to reflect the latest iteration and give the names of earlier persons or bodies in a note (see 12.7B6).

Rationale: New rule added. We felt it necessary to include the provision that the change does not require a new record, given the possibility that the body given in the statement of responsibility is also the main entry or uniform title qualifier. See [rationale under 12.1B8](#) as to whether rules in chapter 21 will be retained or subsumed by the appendix.

12.1G Items without a collective title [New]

12.1G1. [New] For loose-leaf publications that consist of multiple parts without a collective title, see 1.1G.

Rationale: Reference added for loose-leaf publications because they can contain different parts each with their own title.

12.2. EDITION AREA

12.2B. Edition statement

12.2B1. If an edition statement belongs to one of the following types, transcribe it as instructed in 1.2B:

- a) local edition statements

Northern ed.

b) special interest edition statements

Éd. pour le médecin

c) special format or physical presentation statements

Airmail ed.

Braille ed.

Library ed.

Microform ed.

d) language edition statements

English ed.

Éd. française

e) reprint or reissue statements indicating a reissue or revision of the ~~serial as a whole~~ whole resource (i.e., an entire serial, not just individual issues; or a full reissue of an integrating resource, not just a partial update of its contents.

Reprint ed.

2nd ed.

For *loose-leaf publications*, if the edition statement includes a statement of currency of content that varies, omit this information and replace it by the mark of omission.

1998 ed. with ... supplement

(On source: 1998 edition with 2000 supplement)

Rationale: Category e has been broadened and clarified. The second example was struck as it is felt to be confusing. A separate provision for omitting varying portions of the edition statement was added for loose-leaf publications.

12.2B2. For *continuing resources*, Give ~~treat~~ statements indicating regular revision or frequent updating (e.g., *Rev. ed. issued every 6 months*) as frequency rather than edition statements in the note area. Give this information in a note (see 12.7B1).

For *serials*, give statements indicating volume numbering or designation, or chronological coverage (e.g., *1st ed., 1916 ed.*) in the numeric and/or alphabetic, chronological, or other designation area (see 12.3).

Rationale: This rule has been reordered to go from general to specific and slightly reworded to expand coverage to integrating resources.

12.2B4. For serials continuing resources published in numerous editions, see 12.7B7h.

12.2B5. Changes [New]

Serials. If an edition statement is added, dropped, or changed on subsequent issues or parts, make a note of such change if considered to be important (see 12.7B8), unless the addition, deletion, or change is the result of a change in the number of serials requiring new descriptions (see appendix X).

Integrating resources. If a major change in edition occurs, make a new description (see appendix X). If a minor change occurs, change the description and make a note of the change if considered to be important (see 12.7B8).

Rationale: A new rule has been added to indicate how changes are handled. The fact that a change in edition is not a major change for serials is still something to be harmonized with ISBD(S). For integrating resources, the rule for loose-leaf publications has been applied to all. It is unclear how often electronic integrating resources will have editions, but the concepts seem to be applicable.

12.2C1. Transcribe a statement of responsibility relating to one or more editions, but not to all editions, of a serial continuing resource as instructed in 1.2C and 12.1F.

12.3. NUMERIC AND/OR ALPHABETIC, CHRONOLOGICAL, OR OTHER DESIGNATION AREA

12.3A. Preliminary rule

12.3A1. Applicability [New]

Serials. Give this area for serials (with the exception of unnumbered series) if cataloguing from the first and/or last issue or part. If the first/last issue or part is not available, omit this area and provide a note to indicate the issue(s) or part(s) that form the basis of the description (see 12.7B23).

Integrating resources. This area is not applicable. Do not supply a designation.

12.3A12. Punctuation

Rationale: A new rule has been added to indicate that this area is not applicable to integrating resources. The statement for serials also includes when it is applicable since the area is not always given. This seems to be a good way to accommodate a long-standing practice never really stated in the rules and new materials for which the area is not appropriate.

12.3B. Numeric and/or Alphabetic Designation

12.3B1. Give the numeric and/or alphabetic designation of the first or last issue or part of a serial in the same terms as, but not necessarily with the same punctuation as, in that issue or part. Use abbreviations as instructed in appendix B and numerals as instructed in appendix C. In describing a facsimile or other reprint, give the numeric and/or alphabetic designation of the original.

~~Follow the hyphen with four spaces (see also 12.3F)~~

- Population trends [GMD]. – 1-
- Papers on formal linguistics [GMD]. – No. 1-
- Policy publications review [GMD]. – Vol. 1, no. 1-
- Poetry North-east [GMD]. – Issue no. 1-
- Magic touch [GMD] : the new weekly encyclopedia of fashion and home crafts. – Pt. 1-
- OPCS monitor. Population estimates [GMD]. – PPL, 75/1-
- Miscellaneous reports on biodiversity [GMD]. – -no. 10

Rationale: “or last” has been added to accommodate cases in which only the last issue can be given in this area. “or part” has been added throughout the following rules to accommodate electronic resources that are not necessarily presented in “issues.” The part may be a numbered article in an e-journal. “But not necessarily with the same punctuation as” has also been added to allow catalogers to use their judgment when transcribing designations. This is perhaps more important under chronological designations for multiple dates but it needs to be here as well. The provision for spacing has been struck out as it relates to card production. The last example was added to show a case where only the last issue is given in this area.

12.3B3. If a new description is made for a serial (see 12.1B8) but the sequence of numbering, ~~etc.~~, is continued from the previous description, give the numbering, ~~etc.~~ of the first issue or part under the new title.

Rationale: “etc.” is no longer needed because the new definition of numbering includes other forms of designation.

12.3C. Chronological designation

12.3C1. If the first or last issue or part of a serial is identified by a chronological designation, give it in the terms as, but not necessarily with the same punctuation as, used in the issue or part ~~item~~. Use abbreviations as instructed in appendix B and numerals as instructed in appendix C.

Annual report on consumer policy in OECD member countries [GMD] / Organisation for Economic Co-operation and Development. – 1975-

Buck Jones annual [GMD]. – 1957-

Prince Edward Island tourist exit survey [GMD]. – 1967-

Commonwealth immigration [GMD] : a monthly summary of news items from national and local papers relating to immigrants in the United Kingdom. – Jan./Feb.1964-

International commercial television rate and data book [GMD]. – ~~1961-2~~ 1961/2-
(*On source: 1961-2*)

Länderberichte. Ecuador [GMD] / Statistisches Bundesamt. – 1965-

Biennial report [GMD]. – 1999/2000-
(*On source: 1999-2000*)

Daily mirror [GMD]. – -Dec. 31, 1999

Rationale: See [rationale under 12.3B1](#) for first two changes. An example was added to show a date in which the hyphen has been replaced with a slash. This was something we used to do under AACR1. The last example was added to show how the last issue is recorded when the first issue is not given in this area.

12.3C4. If the first or last issue or part of a serial is identified by both ~~numbering, etc.,~~ a numeric and/or alphabetical designation and a chronological designation, give the ~~numbering, etc.,~~ numeric and/or alphabetic designation before the chronological designation.

[add following examples]

However, if the numeric designation is a division of the year, give the year before the number.

97/1-
(*On source: 1-97*)

Rationale: Numbering, etc. has been replaced because of the new definition added to the glossary for numbering. The last sentence and example were added based on text from ISBD(S). This has been long-standing practice though never stated in the rules.

12.3D. No designation on first issue or part

12.3D1. If the first issue or part of a serial lacks a numeric, alphabetic, chronological, or other designation, but subsequent issues define a designation pattern, follow that and give an interpolated (see 1.0C) numeric, chronological, etc., designation, as appropriate, for the first issue or part. If, however, information about designations of subsequent issues or parts is not

available, Give [No. 1]- (or its equivalent in the language of the title proper) or a chronological designation for the first issue or part, as judged appropriate.

[Pt. 1]-

(Subsequent issues numbered: Part 2, Part 3, etc.)

[No. 1]-

[1998]-

(The resource is an annual report for which the date is more appropriate)

Rationale: The rule has been reordered and reworded and the provision for using the chronological designation, approved by JSC, added along with new example to illustrate.

12.3F. Completed serials

12.3F1. In describing a completed serial, give the designation of the first issue or part followed by the designation of the last issue or part.

12.3G. Successive designations

~~12.3G1. If a serial starts a new sequence of numbering without changing its title proper, give the designation of the first and last issues under the old system, followed by the designation of the first issued under the new system. If the numeric designation starts over but with a different system, give the designation of the first and last issues or parts under the old system, followed by the designation of the first issue or part under the new system, followed by a hyphen.~~

Inside Interior [GMD] / Department of the Interior. – Vol. 1, no. 1 (Nov. 1943)-v. 10, no.12 (June 1953) ; no. 1 (July 1974)-

If a new sequence of numeric designation is accompanied by wording, such as *new series*, include this wording with the new numbering. Distinguish between a serial with a common title and a section title (see 12.1B4-12.1B6) and a serial with a new designation system indicated by *new series* or similar wording such wording from that of a section title that is to be placed after a common title (see 12.1B4-12.1B6).

Vol. 1, no. 1 (June 1986)-v. 8, no. 12 (Dec. 1993) ; new ser., v. 1, no. 1 (Jan. 1994)-

If, however, the numeric designation of a serial begins over with the same system of numbering without the addition of words such as *new series*, supply *[new ser.]* or another appropriate term (or its equivalent in the language of the title proper) unless there is a chronological designation to distinguish the numeric designations.

No. 1-no. 6 ; [new ser.] no. 1-

No. 1-no. 6 ; [new ser.] no. 1-[new ser.] no. 3 ; [3rd ser.] no. 1-
(A further change occurs)

Vol.1, no. 1 (Jan. 1992)-v. 4, no. 3 (Mar. 1995) ; v. 1, no. 1 (Jan. 1996)-

Rationale: This rule has been greatly revised to accommodate: 1) the JSC approved recommendation concerning the ability to supply “[new ser.]”; 2) the addition of the term “numbering” to the glossary; and 3) the need to clarify the rule. It has never been clear from the existing rule what to do if the numbering repeated itself. The possibility of subsequent renumbering has also been accommodated by the addition of “or another appropriate term” and the second example under the last paragraph.

12.3H. Changes [New]

12.3H1. If changes occur other than successive designations (see 12.3G) on issues or parts other than the last, make a note of the change if it is considered to be important (see 12.7B9).

Rationale: Rule added to accommodate other forms of change, such as a change in the caption of a numeric designation, etc.

12.4. PUBLICATION, DISTRIBUTION, ETC., AREA

12.4C. Place of publication, distribution, etc.

12.4C2. Changes [New]

Serials. If the place of publication changes on subsequent issues, give the later place in a note if considered to be important for identification (see 12.7B10).

Integrating resources. If the place of publication changes on subsequent iterations, change the description and give the earlier place in a note, if considered to be important for identification (see 12.7B10).

Rationale: New rule added to accommodate change.

12.4D. Name of publisher, distributor, etc.

12.4D2. Changes [New]

Serials. If changes occur in the name of the publisher, etc., on subsequent issues, and these changes do not require a new description for the serial (see appendix X), give the name in a note if considered to be important for identification (see 12.7B10).

Integrating resources. If changes occur in the name of the publisher, etc., on subsequent iterations, change the description to reflect the latest iteration and give the earlier name in a note if considered to be important for identification (see 12.7B10).

Rationale: New rule added to accommodate change. The same provision was added here as is included under statement or responsibility to make it clear when this rule would be applicable.

2.4F. Date of publication , distribution, etc.

12.4F1. Beginning date of publication, distribution, etc.

Serials. Give the date of publication of the first issue or part as instructed in 1.4F. Follow the date with a hyphen ~~and four spaces~~.

Windsor, Berkshire : Wax & Wane, 1975-

Washington, D.C. : Brookings Institution, [1998?]-

Give the date of publication even if it coincides, wholly or in part, with the date given as the chronological coverage.

Social history [GMD]. – 1 (Jan. 1976)-. – London : Methuen, 1976-

Integrating resources. Give as the date of publication the year that the resource was first made available, if known, as instructed in 1.4F.

For loose-leaf publications, give as the date of publication the year when the edition, revision, etc. was first published.

Rationale: The rule has been split between serials and integrating. “and four spaces” was struck out because it relates to card production and the spaces were omitted from the second example. An example was added to show the closed bracket before the hyphen (practice agreed to by JSC per B. Tillett) which is a change in practice for serials. For integrating resources issued in a single updating part, the earliest date may or may not be available in the resource at the time of cataloging and this is acknowledged. A special provision has been added for loose-leaf publications linking the date to the edition statement.

12.4F2. Ending date of publication, distribution, etc.

Serials. In describing a completed serial, give the dates of publication of the first issue or part and the last issue or part, separated by a hyphen.

Membership list [GMD] / Canadian Association of Geographers = Liste des membres / Association canadienne des géographes. – 1968-1969. – Montréal : The Association, 1968-1969

Berkeley : The Auditor, -[1997]

Integrating resources. In describing a completed integrating resource, give the date that the resource was first issued or made available, if known, and the date when it ceased.

For loose-leaf publications, give as the date ceased the date that appears on the chief source and, if readily available, supply the date of the last update.

, 1990-1995 [last updated 1999]

Rationale: An example has been added to show a case in which only the last date of publication is given. A separate provision for integrating resources has been added because the date is not associated with a part. For loose-leaf publications, a further provision is needed to accommodate the date of the last update.

12.4G1. If the name of the publisher is unknown and the place and name of the manufacturer are found in the ~~serial~~ continuing resource, give that place and name as instructed in 1.4G.

12.4G2. *Optional addition.* Give the place, name of manufacturer, and/or date of manufacture if they are found in the ~~serial~~ continuing resource and differ [... **remainder of rule as currently stated**]

12.5. PHYSICAL DESCRIPTION AREA

12.5B. Extent of item (including specific material designation)

12.5B1. For a continuing resource (other than a loose-leaf or printed serial) that is still in progress, give the relevant specific material designation (taken from subrule .5B in the chapter dealing with the type of material to which the ~~serial~~ resource belongs, e.g., 11.5B for microform serials) ~~preceded by three spaces~~. For *printed serials*, the specific material designation is *v.*, *no.*, or *pt.*

v.

wall charts

filmstrips

microfiches

CD-ROMs

disks

Rationale: Example for CD-ROMs and disks added, the first based on optional provision in 9.5B1 in Chapter 9 revision.

12.5B2. For a completed ~~serial~~ continuing resource (other than a loose-leaf), precede the appropriate specific material designation by the number of parts in arabic numerals (~~see also 2.5B19~~).

27 posters

16 microfilm reels

103 *v.*

Rationale: The reference has been struck because it has always been too limited, referring only to printed materials.

12.5B3. [New] For *loose-leaf publications*, whether still in progress or complete, describe as 1 v. (*loose-leaf*), 2 v. (*loose-leaf*), etc., as appropriate.

Rationale: Special rule added for loose-leaf publications because they don't fit well into either 12.5B1 or 12.5B2.

12.5B34. If a ~~serial~~ continuing resource consists of, or contains, braille or another tactile system, make additions to the statement of extent as indicated in subrule .5B in the chapter dealing with the type of material to which the ~~serial~~ continuing resource belongs.

v. of braille

12 v. of music (braille)

Rationale: In addition to wording changes, the first example shows the elimination of initial spaces.

12.5C. Other physical details

12.5C1. Give the other physical details appropriate to the item being described as instructed in subrule .5C in the chapter dealing with the type of material to which the ~~serial~~ continuing resource belongs (e.g., 6.5C for serial sound recordings). For *printed serials and loose-leaf publications*, see 2.5C.

Rationale: Reference to Chapter 2 added for printed resources to parallel such references given in other rules.

12.5D. Dimensions

12.5D1. Give the dimensions of the ~~serial~~ continuing resource as instructed in subrule .5D in the chapter dealing with the type of material to which the ~~serial~~ resource belongs (~~e.g., 2.5D for printed serials~~).

v. : ill. ; 25 cm.

filmstrips : col. ; 35 mm.

film cassettes : sd., col. ; standard 8 mm.

1 v. (*loose-leaf*) ; 28 cm.

computer optical disk : col. ; 4 3/4 in.

disks ; 3 1/2 in.

Rationale: Reference struck because it is limited to printed materials only. Examples added for loose-leaf, CD-ROM, and disk.

12.5D2. Changes [New] If the dimensions of a continuing resource change, or multiple parts differ in size, give the dimensions from smallest or smaller to largest or larger separated by a hyphen.

v. : ill. ; 27-32 cm.

1 v. (loose-leaf) ; 26-29 cm.

Rationale: New rule added to accommodate changes in size for tangible resources, including those with multiple parts, such as loose-leaf publications.

12.5E1. Give, as instructed in 1.5E, the details of accompanying material that is intended to be issued regularly. If using 1.5E1d and if the ~~serial~~ continuing resource is still in progress, give the name of the accompanying material ~~preceded by three spaces~~.

v. : ill. ; 21 cm. + slides

filmstrips : col. ; 35 mm. + booklets

108 v. : ill. ; 25 cm. + 18 maps (col. ; 65 x 90 cm. or smaller)
(*Completed serial*)

Rationale: The rule has been revised to omit the spacing requirements and the examples have been changed to reflect this.

12.6. SERIES AREA

12.6B. Series statements

12.6B2. Changes. [New] For changes relating to the title proper of a series statement in a serial, see 1.6J1.

Rationale: Addition to accommodate change.

12.7. NOTE AREA

12.7A. Preliminary rule

12.7A2. In making notes, follow the instructions in 1.7A.

In referring to another ~~serial~~ bibliographic resource, use the title or name-title under which that ~~serial~~ resource is entered in the catalogue (see chapter 21). If the ~~serial~~ resource is not in the catalogue *or* if main entry is not used (see 0.5), use the title proper and statement of responsibility of the ~~serial~~ resource.

Rationale: The use of “bibliographic resource” here in place of serial and instead of “continuing resource” is intentional because a continuing resource can have a relationship with a finite resource.

12.7B. Notes

Make notes as set out in the following subrules and in the order given there. However, give a particular note first if it has been decided that note is of primary importance. Notes in descriptions of continuing resources may include information not appropriate to other areas of the description, or notes may be needed due to changes in the resource over time. Because the description of a *serial* is based on the first or earliest available issue, notes about changes generally refer to changes that occur on later issues. Because the description of an *integrating resource* is based on the latest iteration, notes about changes generally refer to the information contained on earlier iterations of the resource.

If the ~~serial~~ continuing resource being described is a reproduction, give also details of the original (see 1.7A4, 1.11F, and for microform or other photographic reproductions, 11.7B22).

Rationale: The first paragraph has been expanded to include two different circumstances under which notes are given: when the information is not included in the description and when changes occur subsequently. Sentences have been added to indicate how these changes are handled in the two types of records. The second paragraph is somewhat problematic as it only deals with microforms and does not address electronic reproductions. LC has just dealt with this issue in the form of a Rule Interpretation to 1.11A. This relates to the 0.24 revision.

12.7B1. Frequency. Make notes on the frequency of a *serial* or the frequency of updates to an *integrating resource* unless it is apparent from the content of the title and statement of responsibility area or is unknown. Also make notes on changes in frequency. (The examples given here do not constitute an exhaustive list.)

Annual

Quarterly

Monthly (except Aug.)

Monthly (during the school year)

Several times a week

Issued twice a month

Six issues yearly

Irregular

~~Six issues yearly, 1950–1961; monthly 1962~~

Bimonthly, Nov./Dec. 1980-Mar./Apr. 1992; monthly, May 1992-

Updated quarterly

Continuously updated

Frequency varies

Rationale: Additional language added to accommodate integrating resources. The example for six issues yearly was struck and replaced with the bimonthly example because the dates given are not sufficient to identify the issues. Further examples were added for integrating resources.

12.7B2. Language. Make notes on the language(s) of the ~~serial~~ continuing resource unless this is apparent from the rest of the description.

12.7B3. Source of title proper. Always Make a notes on the source of the title proper for electronic resources. For other continuing resources, make such a note if the title proper is taken from a title page substitute (for printed resources) or from a source other than the chief source (for nonprint resources).

Title from cover

Title from caption

Title from disk label

Title from title screen (viewed Jan. 20, 2000)

Title from journal home page (viewed Dec. 18, 1999)

Rationale: The rule has been expanded and clarified, similar to the wording given in 12.1B1. The addition of the date viewed to the source of title note has also been added. This might be seen as an electronic-only provision, but it is helpful to repeat this here, particularly since this note is usually combined with the item described (12.7B23). Examples have been added to show different types of resources and the use of the date viewed. Finding the right terminology for source of title notes for electronic resources is somewhat problematic and we are interested in the work of the OLAC group (<http://ublib.buffalo.edu/libraries/units/cts/olac/capc/draft1.html>)

Further suggestion: Rules 12.7B3 and 12.7B23 (and related rules in other chapters) are notes for other catalogers and are often combined. The JSC should consider combining these notes, if not now, in the future revision of the code. It has also been suggested that a separate MARC tag be designated so that this note could be suppressed from display to the public.

12.7B4. Variations in title. Make notes on titles for the following situations if considered to be important:

- a) Make notes on titles borne by the ~~serial~~ continuing resource, other than the title proper. *Optionally*, give a romanization of the title proper.

Cover title: Proceedings of the ... Annual Glass Symposium

Some issues also have title: Ergonomics in the work-place

Title on added t.p.: Bulletin / Société canadienne d'histoire orale & sonore

Added t.p. in Uzbek

Title bar title: Antarctic Meteorology Research Center home page

- b) Make notes on a title by which the resource is known.

Popularly known as: LCIB

- c) Make notes on variations in title proper that occur over time according to 12.1B8. In any case where title variations are insignificant, give a general note.

Title varies slightly

For *serials*, make notes on minor changes that occur after the first issue (see appendix X).

Issues for 1999- have title: Annual report on pipeline safety
(Title proper: Annual report of pipeline safety)

Some issues have title: SLIS newsletter

If an electronic serial does not retain earlier titles, make a note to this effect.

All issues previously published under the former title BMMR [Bryn Mawr medieval review], have been reformatted with the new title: The medieval review, and are now available on the World Wide Web site for the new title.

For *integrating resources*, make notes on earlier titles. Supply the appropriate dates, if available. For remote access electronic resources, if the dates for which the title applied to the resource cannot be ascertained, supply the date viewed from the source of title note (see 12.7B3) if this is available.

Title history: Securities law, fraud-SEC rule 10b-5, 1967-Oct. ? 1979; Securities fraud and commodities fraud, 1979-Dec. 1986

Former title: Washington newspapers database (viewed Oct. 6, 1999)

Former titles: Telephone directories international (viewed Sept. 9, 1999); Euroinfo international (viewed May 10, 1998)

~~If individual issues of a *serial* (other than a monographic series) have special titles, give the individual titles if they are considered important.~~

~~Each volume has a distinctive title: 1939, Government, the citizens' business; 1940, Explorations in citizenship; 1941, Self-government under war pressure~~

[Instruction moved to 12.7B18 Contents; example should be deleted]

Rationale: This rule has been expanded to encompass the various circumstances under which variant titles are noted and how these notes differ depending on the type of resource. Under the first category, an example from a Web site has been included. The inclusion of titles by which the title is known was included per JSC approval. The provision to make notes on minor changes is currently not present in the rule. Examples illustrate situations where a minor change occurs on all subsequent issues and on scattered subsequent issues. The BMMR example illustrates an electronic journal that has not retained its earlier titles. Examples of a loose-leaf, database, and directory are included. The third example is hypothetical but shows how a subsequent change could be accommodated within a single note. The last provision now in the rule was removed and added to the note on contents (12.7B18) as that is what these titles would constitute. The example was struck because it is not common practice or even feasible in most cases to give such a note.

12.7B5. Parallel titles and other title information. ~~Give the titles in another language and other title information not recorded in the title and statement of responsibility area if they are considered to be important. Make notes on variations in parallel titles and other title information.~~

English title varies: Inter-American review of bibliography, 1952-

Titles also in the organization's other official languages

For *serials*, make notes about parallel titles that are added, changed, or omitted on subsequent issues. For *integrating resources*, make notes about parallel titles that are no longer present on the current iteration, or that appeared in a different form on previous iterations.

French title dropped on issues after 1998

Make notes on other title information not recorded in the title and statement of responsibility area.

"Lesbian newsletter"

Make notes of changes to other title information that have been recorded in the title and statement of responsibility area if they are considered to be important. If changes to other title information given only in a note are insignificant, *varies slightly* may be added to the note.

Subtitle varies

(Other title information has been recorded with the title proper)

“A journal of feminism and film theory” (varies slightly)
(On later source: A record of feminism and film theory)

Rationale: For clarification, this rule was divided between parallel titles and other title information and within these, between notes made initially and notes to explain subsequent changes. An example of a change in parallel title has been added under the first section. Examples of other title information are given to show use of a quoted note, use of a general note for subtitle variations, and the addition of ‘varies slightly’ to a note to accommodate subsequent variations.

12.7B6. Statements of responsibility. Make notes on statements of responsibility that do not appear in the title and statement of responsibility area in the following cases:

- a) Give the names of persons or bodies that cannot be named in the statement of responsibility area.

Official journal of: Concrete Products Association, Oct. 1920-Apr. 1930

- b) Give a fuller form of name of a person or body that appears only in abbreviated form in the rest of the description if the fuller form is considered to be necessary.

Journal of the Professional Institute [GMD]

Note: Full name of the institute: Professional Institute of the Public Service of Canada

Occasional newsletter [GMD] / Alra

Note: Issued by: Abortion Law Reform Association

- c) Give names of persons (other than editors) or bodies if changes occur on subsequent issues or iterations.

For *serials*, make notes on changes in persons or bodies or changes in the name of persons or bodies that occur on subsequent issues if the change does not result in the creation of a new description.

Issued by: Dept. of Health and Welfare, Bureau of Vital Statistics, 1964-1977; by: Dept. of Health and Human Services, Bureau of Vital Records, 1978-

For *integrating resources*, make notes on changes in persons or bodies that occurred on previous iterations.

- d) Give names of editors as follows:

For *continuing resources other than loose-leaf publications*, Give the name of any editor considered to be an important means of identifying the *serial continuing resource* (e.g., if a particular person edited the *serial resource* for all or most of its existence; if the person’s name is likely to be better known than the title of the *serial resource*).

Editor: Wyndham Lewis

Editor: ~~1939-1945~~, H.L. Mencken, 1939-1945

Founded, edited, and published by Jean-Paul Sartre

For *loose-leaf publications*, give the names of personal editors not recorded in the title and statement of responsibility area or that occurred on earlier iterations. If the changes have been numerous, give a general note.

Compiled and edited by: Dan Hill and Malcolm Evans, 1977-July 1980

Editor varies

Rationale: This rule has been expanded to include an instruction for changes of issuing bodies for serials and integrating resources, and a special provision for loose-leaf publications. For clarity, the rule has been reorganized into categories. The different instructions for integrating resources and loose-leaf publications reflect the fact that editors are given as statements of responsibility for loose-leaf publications but not for other continuing resources.

12.7B7. Relationships with other serials bibliographic resources. Make notes on the relationship between the ~~serial~~ continuing resource being described and any immediately preceding, immediately succeeding, or simultaneously issued ~~serial~~ resource as described below.

ba) *Continuation*. If a ~~serial~~ ***continuing resource*** continues a previously published ~~serial~~ resource, ~~whether the numbering continues or is different~~, give the name of the preceding ~~serial~~ resource. (See also 21.2C and 21.3B.)

Continues: Monthly Scottish news bulletin

For *loose-leaf publications*, make notes relating to the edition or bibliographic history of the loose-leaf.

Revision of: Rev. ed., 1973-1981

eb) *Continued by*. If a ***serial*** is continued by a subsequently published serial, ~~whether the numbering continues or is different~~, give the name of the succeeding serial, and *optionally* the date of the change. (See also 21.2C, 21.3B)

Continued by: Regina

Continued by a section in: Canadian Association of Geographers' newsletter

dc) *Merger*. If a ~~serial~~ continuing resource is the result of the merger of two or more other ~~serials~~ continuing resources give the names of the ~~serials~~ continuing resources that were merged.

Merger of: British abstracts. B1, Chemical engineering, fuels metallurgy, applied electrochemistry, and industrial inorganic chemistry, and: British abstracts. B2, Industrial organic chemistry

If a serial continuing resource is merged with one or more other serials continuing resources to form a serial resource with a new title, give the title(s) of the serial(s) resource(s) with which it has merged and the title of the new serial resource.

Merged with: Journal / British Ceramic Society, to become: Transactions and journal of the British Ceramic Society

Note to JSC: Should “title” in the second paragraph be changed to “name” to be consistent with other rules? See also [g below](#).

ed) Split. If a serial continuing resource is the result of the split of a previous resource into two or more parts resources, give the name of the serial resource that has been split, and optionally the name(s) of the other serial(s) resource(s) resulting from the split.

Continues in part: Proceedings / the Institution of Mechanical Engineers

If a serial continuing resource splits into two or more parts separate resources, give the names of the serials resources resulting from the split.

[Retain examples]

If a serial continuing resource has separated from another serial continuing resource, give the name of the serial resource of which it was once a part.

Separated from: Farm journal and country gentleman

fe) Absorption. If a serial continuing resource absorbs another serial continuing resource, give the name of the serial continuing resource absorbed and *optionally* the date of absorption.

Absorbed: The morning post

Absorbed: The worker’s friend, 1936

Absorbed: Metals technology; and, in part: Mining and metallurgy

If a serial continuing resource is absorbed by another serial continuing resource, give the name of the absorbing serial resource.

Absorbed by: Quarterly review of marketing

- a) *Translation*. If a ~~serial~~ continuing resource is a translation of a previously published ~~serial~~ resource (as opposed to a different language edition of a ~~serial~~ continuing resource, for which see 12.2B1), give the name of the original.

Translation of: Blé dans le monde

If the title of the other ~~serial~~ continuing resource is not readily available, make a general note.

Translation of the German edition

- g) *Edition*. If a ~~serial~~ continuing resource is one of two or more edition(s) differing in partial content and/or in language, give the title of the other edition(s).

English ed. of : Bulletin critique du livre française

If the title of the main edition is not readily available, make a general note.

Issued also in Sanskrit

- h) *Numerous editions*. If a ~~serial~~ continuing resource is published in numerous editions, give *Numerous editions*.

- j) *Supplements*. If a ~~serial~~ continuing resource is a supplement to another resource ~~serial~~, give the name of the main ~~serial~~ resource.

Supplement to: Philosophical magazine

If a ~~serial~~ continuing resource has supplement(s) that are described separately, make notes identifying the supplement(s).

Supplement: Journal of the Royal Numismatic Society

Make brief general notes on irregular, informal, numerous, or unimportant supplements that are not described separately.

Supplements accompany some numbers

Numerous supplements

Rationale: This rule has been reordered in that “Translations” has been moved from a) to f) to keep similar types of relationships grouped together; as revised, a-e relate to chronological relationships, f-j relate to simultaneous relationships.

All of the relationships, with the exception of b) “Continued by” are given as possible for any continuing resource, recognizing that even though integrating resources are cataloged on a single record there may be circumstances where the resource splits, absorbs another, merges, etc. that would require new records. The inclusion of continuing resources for a) “Continuation” is primarily in recognition of loose-leaf publications and the rule could be more specifically stated as “For serials and loose-leaf publications.” The reason for restricting the “continued by” note to serials is that AACR2 contains the concept of linking back but not forward and this has been the practice of monograph catalogers.

12.7B8. Edition statement. [New] For *electronic resources*, make notes on the source of the edition statement if it is different from that of the title proper.

Ed. statement from container label.

Make notes on changes to edition statements as follows:

Serials. Make notes on changes that occur on subsequent issues.

Angewandte Chemie [GMD]. – International edition in English

Note: Ed. statement varies: International ed., 1998-

Integrating resources. Make notes on edition statements that occurred on earlier iterations if the change is not considered major and does not require the creation of a new record.

Replacement title pages carry successive edition statements, e.g., replacement title page received with June 1985 supplementation carries the statement “1985 edition.”

Rationale: There is currently no provision in AACR2 for making notes on changes to edition statements for serials. In other chapters, the .7B7 rule deals with “Edition and history” while in chapter 12 it is restricted to “relationships with other serials (here changed to bibliographic resources).” Thus, edition is included in 12.7B7 in the sense of other simultaneously issued editions (g, h), or major changes in edition that require a new record (a).

12.7B89. Numbering and chronological designation. For *continuing resources*, If a serial ~~suspends~~ if publication is suspended with the intention of resuming at a later date, give this fact. If publication is resumed, give the dates or designation of the period of suspension.

Suspended with v. 11

No updates issued from 1999 to 2001

For *serials*, ~~Make~~ make notes on complex or irregular numbering, etc., not already specified in the numeric and/or alphabetic, chronological, or other designation area. Make notes on peculiarities in numbering, etc. or the organization of the resource.

Issues for Aug. 1973-Dec. 1974 also called v. 1, no. 7-v. 2, no. 12

Vol. numbering irregular: Vols. 15-18 omitted, v. 20-21 repeated

Introductory no., called v. 1, no. 0, issued Nov. 30, 1935

Numbering begins each year with v. 1

Numbering irregular

Successive articles are uniquely identified by a manuscript number and date

Issues for 1996 are only available as individual articles, organized topically

Frontiers in bioscience [electronic resource]. – Vol. 1 (1996)-

Note: Articles are continuously added to each annual volume

If the period covered by a volume, issue, etc., of an annual or less frequent serial is other than a calendar year, give the period covered.

Report year ends June 30

Report year varies

Each issue covers: Apr. 1-Mar. 31

Each issue covers: Every two years since 1961-1962

Rationale: The name of the rule has been changed to reflect the addition of “numbering” to the glossary which encompass chronological designations. This rule also has been expanded and reordered to go from general to specific. Suspension can apply to any continuing resource and is thus first (although this may not be preferable). The following instructions are qualified as being applicable to serials only and the rule has been broadened to encompass peculiarities in the organization of the contents as this seems to fit here. Examples have been added to show numbering and organization of articles in electronic serials.

12.7B910. Publication, distribution, etc. Make notes on any variations, peculiarities, irregularities, etc., in the publication, distribution, etc., details of the ~~serial~~ continuing resource. If these have been numerous, give a general statement.

Imprint varies

For *serials*, make notes on changes in the place and/or name of publisher occurring on subsequent issues, if they are considered to be important.

No. 4 published in 1939, no. 5 in 1946

Published: Boston, Mass., May 1998-

For *integrating resources*, note places and/or names of publishers that appeared on earlier iterations, if they are considered to be important to the identification of the resource.

Portland, OR : Excite, Inc., c1994-

Note: Published by Architext Software, 1994-1997?

Rationale: Separate instructions have been added for serials and integrating resources. The example under serials has been struck because it is not a note that is likely to be made. It has been replaced with a more commonly used note of change of place.

12.7B1011. Physical description. Make notes on important physical details that are not included in the physical description area.

Printed on hand-made paper

Alternate leaves of print and braille

In container (28 cm.) with abstracts of contents

~~Make notes on variations in the physical details of issues of a serial.~~

~~Vols. 3-6: 30 cm.~~

~~Some issues illustrated~~

Rationale: This instruction does not add anything to the basic rule, and the examples are facts that would be included in the description.

12.7B1112. Accompanying material. Make notes on the location of accompanying material if appropriate. Give details of accompanying material neither mentioned in the physical description area nor given a separate description (see 1.5E). Give the frequency of accompanying materials that are a regular feature of the ~~serial~~ continuing resource.

Slides in pocket

Sound disc with last issue of each year

Vol. 7, no. 6 contains wall chart (col. ; 26 × 40 cm.)

Slides with every 7th issue

Some volumes accompanied by diskettes

Accompanied by CD-ROM, no. 19-

Some issues accompanied by VHS videocassette

Rationale: Examples added to show different physical formats.

12.7B1213. Series. Give details of the numbering within a series, when present and considered to be important, if the numbering varies from issue to issue.

Each issue numbered 10, 20, 30, etc., in the series

~~Each issue individually numbered in the series~~

Rationale: This rule is rarely applied and it would really be better to remove it. If it is to be retained, the addition of “if present and considered to be important” accommodates unnumbered series and gives the cataloger the ability to omit such a note. The last example has been struck as being inappropriate as this is the normal situation.

12.7B14. Audience. Make a brief note of the intended audience for, or intellectual level of, a ~~serial~~ continuing resource if this information is stated in the ~~serial~~ resource.

Note to JSC: In the current text, there are no rules numbered 12.7B15 and 12.7B22. Should we renumber all remaining notes at this point?

12.7B16. Other formats. Give the details of other formats in which the content or partial contents of the ~~serial~~ continuing resource is, or has been, issued.

Issued also as ~~computer file~~ an electronic journal

Vols. 1-4 issued also on microfiche

Issued also as CD-ROM and online

The latest tables of contents and other information about the journal is available online

Rationale: “or partial content” added because the entire resource may not be available in this format. Examples added.

12.7B17. Indexes.

Serials. Make general or specific notes on the presence of cumulative indexes. If possible, give the type of index, the volumes, etc., of the serial indexed, the dates of the serial indexed, and the location of the index in the set or the numbering of the index if it is issued separately. Make a note also on separately published indexes.

Includes indexes

Indexes: Vols. 1 (1927)-25 (1951) in v. 26, no. 1

Indexes: Vols. 10-17 issued as v. 18, no. 3

Index published separately every Dec.

Indexes: Subject index, v. 1-11 in v. 13. Author-title index, v. 1-11 in v. 14

Each third volume is an index to all preceding volumes

Indexes covering every 5 v. (beginning with v. 71 and excluding financial volumes)
issued with title: Consolidated index-digest of reports of the Interstate Commerce
Commission involving motor carrier operating rights

Integrating resources. Make general or specific notes on the presence and the nature of indexes if considered to be important.

Includes unnumbered chronology volume

Includes indexes

Rationale: The rule has been expanded to cover serials and integrating resources. The instruction for serials has been amended to accommodate general notes and an example added. While loose-leaf publications do have indexes (the examples are from Hallam), it is not likely that electronic resources will have indexes, given the online searching capabilities.

12.7B18 Contents. Give details of inserts, other serials continuing resources included ~~in the serial~~, and important special items with specific titles, unless they are catalogued separately.

Includes: Bibliography of Northwest materials

Issues for 1922-1931 include: The woman voter : official organ of the League of Women Voters

For **serials (other than a monographic series)**, if individual issues of a serial have special titles, give the individual titles in contents notes if they are considered to be important. Do not give contents notes for **monographic series**.

For **electronic integrating resources**, provide a brief summary of the site according to 9.7B17. Avoid including information that is too specific or likely to change.

Summary: Distributes weekly programming, including news and information, comedy and variety, music, and specials and documentaries, with emphasis on developing new talents and program concepts, and on serving diverse audiences. Also provides links to other public radio organizations and industry-related information
(Web site for Public radio international)

For *loose-leaf publications*, make a note of the contents, either selectively or fully, if it is considered necessary to show the presence of material not implied by the rest of the description.

Contents: v. 1. Administrator's volume (3 v.) – v. 2. Attorney's volume (3 v.)

Rationale: This rule has been expanded to cover different types of continuing resources. A provision for electronic resources has been included here referencing 9.7B17. The instruction from 12.7B4 has been added here.

12.7B19. Numbers. Give important numbers borne by the ~~item~~ continuing resource other than ISSNs or ISBN (see 12.8B).

CODEN: JACSAT

Rationale: ISBN has been added because of loose-leaf publications. An example of CODEN has been added. ISSN and ISBN are used in the singular.

12.7B21. "Issued with" notes. If the description is of a ~~serial~~ continuing resource issued with one or more others, make a note beginning *Issued with:* and listing the other ~~serial(s)~~ resource(s).

[retain examples]

12.7B23. Item described. ~~If the description is not based on the first issue, identify the issue used as the basis for the description.~~ If the description of a *serial* is not based solely on the first issue or part, provide an appropriate note to identify the issue(s) or part(s) used as the basis of the description. This note may be combined with other notes, particularly with the source of title note.

Earliest issue consulted: Vol. 1, no. 3 (Aug. 1999); title from cover

Earliest issue consulted: No. 8 (Jan./June 1997); latest issue consulted: no. 12 (Jan./June 1999)
(Changes occurred with no. 12)

Latest issue consulted: 2001/3
(Description is based on the first issue)

~~Description based on: Vol. 3, no. 3 (May/June 1975)~~

For *unnumbered series* for which a comprehensive entry is created, give a note consisting of the title and statement of responsibility of the analytic and the date it was published (see 1.7A4).

Earliest issue consulted: The wood demon / by Anton Pavlovich Chekhov; translated by Nicholas Saunders and Frank Dwyer, published 1993

Earliest issue consulted: Labor and economic reforms in Latin America and the Caribbean, published 1995

For *integrating resources*, make a note of the iteration upon which the description is based.

Description based on: 1994 ed. through update 10

Description based on resource last updated: 10/26/00; title from title screen (viewed on Dec. 10, 2000)

Rationale: The rule has been revised to provide for both the earliest and latest issues reflected by the description of a serial. This would replace the current “Description based on” terminology. A separate instruction has been included for unnumbered series to show how such a resource would be cited and two examples, one without statement of responsibility, are given. “Description based on” is used for integrating resources because the description is based on a single iteration.

12.8. STANDARD NUMBER AND TERMS OF AVAILABILITY AREA

Contents:

- 12.8A. Preliminary rule
- 12.8B. ~~International Standard Serial Number (ISSN)~~ Standard number
- 12.8C. Key title
- 12.8D. Terms of availability
- 12.8E. Qualification

12.8B. ~~International Standard Serial Number (ISSN)~~ Standard number

Rationale: Name changed because ISBN must now also be included. Note that the table of contents to Chapter 12 will also need to be changed.

12.8B1. Give ~~ISSNs~~ the International Standard Serial Number (ISSN) or International Standard Book Number (ISBN) assigned to a continuing resource as instructed in 1.8B.

ISSN 0075-2363

ISSN 0027-7495 (corrected)

For *loose-leaf publications*, add the qualification (*loose-leaf*) to identify an ISBN relating to a publication issued in a ring binder that allows pages to be inserted or removed. If a loose-leaf publication bears two or more ISBNs, give the one that applies to the item being described.

ISBN 0-86325-016-5 (loose-leaf)

Rationale: ISBN added and provision for loose-leaf publications. There are many instructions in Hallam regarding to the recording of the ISBN but this seems to be the most basic and important.

12.8C. Key-title

12.8C1. Give the key-title ~~of the serial~~, if it is found on the ~~serial~~ resource or is otherwise readily available, [... **remainder of rule as currently stated**]

Rationale: “of the serial” has been omitted in anticipation that ISSN will be assigned to a broader range of resources.

12.8D. Optional addition. Terms of availability

12.8D1. Give the terms on which the ~~serial~~ continuing resource is available as instructed in 1.8D.

12.10. SECTIONS OF SERIALS CONTINUING RESOURCES

12.10A. Do not use the “multilevel” structure (see chapter 13) for the description of sections of a ~~serial~~ continuing resource. Describe such sections as separate ~~serials~~ resources (see 12.1B4-12.1B6).

5. Revisions to Rules in Other Areas, Chapters, Glossary, Etc.

CONTENTS: 12. Serials Continuing Resources

0.24. ... In describing serials ...

Note to JSC: If this clause is to be retained in a revision of this rule, it might be better changed to continuing resources.

0.25.

Note to JSC: The title of ISBD(S) will be changed (at some time) to Serials and Other Continuing Resources.

Consider also adding to this rule to indicate that area 5 is not applicable to remote access electronic resources (unless this decision is reversed). Do the levels of description in 1.0D need to be footnoted?

Chapter 1

1.1B2. If the title proper includes a statement of responsibility or the name of a publisher, distributor, etc. and the statement or name is an integral part of the title proper (i.e., connected by a case ending or other grammatical construction), transcribe it as part of the title proper.

Marlowe's plays

Eileen Ford's a more beautiful you in 21 days

Ernst Gunther laser Balzac

La route Shell

However, do not transcribe words that serve as an introduction and are not intended to be part of the title. Prefer to transcribe the title from a source that does not contain such words. If there is no other source, omit the introductory words and give the title including these words in a note.

Sleeping beauty

(On source: Disney presents Sleeping Beauty)

NASA quest

(On source: Welcome to NASA quest)

Rationale: Provision added to chapter 1 per JSC request. There is strong concern that giving this provision here may be misinterpreted to apply to cases where it is not intended. Would it be better to add as a separate rule in a less prominent location (e.g., before current rule 1.1B7)?

1.4F7. If no date of publication, distribution, etc., copyright date, or date of manufacture appears in an item, supply an approximate date of publication.

[all examples retained as given]

Optionally, give an approximate date of publication if it differs significantly from the date(s) specified in 1.4F6.

, [1982?], c1949

For a multipart or continuing resource, if the cataloguing is not based on the first and/or last issue or part or it is unknown when the resource was first or finally made available, do not supply the beginning or ending date of publication.

Washington, D.C. : Smithsonian Institution
(Earliest issue available is v. 4, no. 1, June 1999)

Optionally, give an approximate beginning or ending date of publication if it can be readily ascertained.

Vancouver, B.C. : University of British Columbia, [1998?]-
(Earliest issue available is v. 1, no. 3, July 1998)

Rationale: Provision added for continuing resources and multi-parts when the first and/or last issue/part/iteration is not available. The original instruction from JSC was a misunderstanding per B. Tillett.

1.6G1. If the series is numbered, Give the numbering of the item within the series in the terms given in the item. Use abbreviations as instructed in appendix B and numerals as instructed in appendix C.

[retain examples]

If the numeric sequence starts over but with a different system of numbering, but lacks words such as *new series*, supply [new ser.] (or its equivalent in the language of the title proper).

Rationale: The first change “if the series is numbered” has been added to accommodate unnumbered series. The second parallels 12.3G1.

1.6H5. If the subseries is numbered, Give the numbering within a subseries as instructed in 1.6G.

Rationale: The addition is needed to accommodate unnumbered series.

1.7B4 Variations in title. Make notes for titles other than the title proper that are borne by a bibliographic resource or by which it is known if considered to be important.

Cover title: Giovanni da Firenze

Original title: L'éducation sentimentale

Also known as: LCIB

Rationale: This rule has been added to chapter 1 per JSC request along with provision in 12.7B4.

1.7B23. Item described. [New] For any successively-issued or integrating bibliographic resource, if the description is not based on the first issue, part, or iteration, identify the issue, part, or iteration used as the basis of the description (see also 12.7B23).

Description based on: Part 2, published 1998

Rationale: This rule has been added per JSC request to cover any bibliographic resource rather than just continuing. The wording has been changed from what the JSC recommended to reflect the wording used throughout this revision.

1.8C1 (also 3.8C1, 5.8C1, 6.8C1, 7.8C1, 8.8C1, 9.8C1). Give the key title of a ~~serial~~ continuing resource, [... remainder of rule as currently stated]

Note to JSC: Most of the special chapters could only encompass serials (e.g., chapter 6 for sound recordings) so it might be that not all of these rules should be changed, at least not at this time? 9.8C1 should be, however!

Chapter 2

2.0A1. Add to last sentence: For serials and loose-leaf printed texts, see also chapter 12.

Chapter 20

20.1. [Last paragraph:] The rules in part II apply to all library materials, irrespective of the medium in which they are published or of whether they are ~~serial or nonserial~~ continuing or finite in nature.

Chapter 21

[Most of these rules will be added to the new appendix]

21.2 CHANGES IN TITLE PROPER

21.2A1.

Major changes

In general, consider a ~~title proper to have changed if~~ as a major change in a title proper the addition, deletion, or change of any word other than an article, preposition, or conjunction, or a change in the order of the first five words (the first six words if the title begins with an article) ~~is changed.~~

Minor changes

~~However, in general do not consider a title proper to have changed if~~ Consider the following to be minor changes:

- a) the change is in the representation of a word or words (e.g., abbreviated word or symbol vs. spelled out form, singular vs. plural form, one spelling vs. another)
- b) the addition, deletion, or change comes after the first five words (the first six words if the title begins with an article) and does not change the meaning of the title or indicate a different subject matter
- c) ~~the only change is the addition or deletion of the name of the issuing body (and any grammatical connection) at the end of the title~~ the change involves the addition, deletion, or rearrangement of the name of the issuing body and elements of its hierarchy anywhere in the title
- d) the only change is in the addition, deletion, or change of punctuation
- e) the change occurs in the order of titles when the title is given in more than one language on the source, as long as the title chosen as title proper still appears

In any case of doubt, consider ~~the title proper not to have changed~~ the change to be minor.

Rationale: This rule as given reflects the changes agreed to by the JSC and incorporates the concept of major and minor changes. The rule will be incorporated into the new appendix and could be stated more explicitly with a list of major changes and a list of minor changes, as recommended. Harmonization efforts may further impact on this rule.

21.2C. **Serials** Continuing resources

21.2C1. ~~If the title proper of a serial changes, make a separate main entry for each title.~~

- a) **Serials.** If a major change occurs in the title proper of serial, make a new entry separate main entry for each title. (See appendix X for a list of major and minor changes.) If a major change occurs in the title of an *electronic serial*, make a new entry unless the earlier title is no longer formally presented on any source. In that case, treat the serial like an integrating resource (i.e. replace the title proper and change the description to reflect the latest information and record the earlier title in a note).
- b) **Integrating resources.** If a change occurs in the title proper, do not make a new entry; instead change the description and give the former title(s) in a note.

Rationale: It is unclear whether these rules will remain in chapter 21, be included in the new appendix, or whether they are needed at all. The rule has been expanded to cover all continuing resources. A special provision for electronic journals has been added under serials with the understanding that they are still serials but would be treated like integrating resources once earlier titles were removed.

21.3. CHANGES OF PERSONS OR BODIES RESPONSIBLE FOR A WORK

21.3B. **Serials** Continuing resources

21.3B1.

- a) **Serials.** Make a new entry for a serial if either of the following conditions arises, even if the title proper remains the same:
- a)(1) if the heading for a corporate body under which a serial is entered changes
- or b)(2) if the main entry for a serial is under a personal or corporate heading and the person or body named in that heading is no longer responsible for the serial.
- b) **Integrating resources.** If either of the conditions given in 21.3B1a occurs, do not make a new entry. Instead, change the entry and note the earlier name or form of name.

Rationale: Rule expanded and instruction for integrating resources added.

Added entries

21.30 Special rules

21.30D. Editors and Compilers

21.30D1. Second sentence: Make an added entry under the heading for an editor of a serial or other continuing resource (with the exception of loose-leaf publications) only in the rare instance when the ~~serial~~ resource is likely to be known by the editor's name.

21.30J. Titles. [Revise last paragraph] ...

If considered necessary for access, make an added entry for any version of the title (e.g., cover title, caption title, running title) that, according to ~~21.2A~~ appendix X, does not constitute a major change in the title proper.

Rationale: The concept of major changes needs to be introduced into this rule and a reference to the appendix rather than 21.2A.

Chapter 25

25.5B. Conflict resolution

25.5B1. [Add as the last sentence] ... Do not apply such qualifiers to integrating resources.

Rationale: This reflects the decision made by the JSC. However, it is problematic because of the need to distinguish different records for versions of the same database, etc. This may also need to be rethought if an international standard title is adopted and applied to serials and at least some integrating resources.

Chapter 26

26.5. REFERENCES TO ADDED ENTRIES FOR SERIES AND SERIALS

26.5B. Serials.

26.5B1. If an added entry is made under the heading for a serial, refer to that heading from different forms under which the serial might reasonably be sought.

Note to JSC: No change is being suggested for this rule but the need for the rule seems unclear; thus it does not seem wise to expand to cover continuing resources.

APPENDIX A. CAPITALIZATION

A.4E. Certain titles of serials continuing resources that have merged or been absorbed

A.4E1. If one serial continuing resource absorbs or merges with another and incorporates that serial's resource's title with its own, do not capitalize the first word [... remainder of rule as currently stated]

APPENDIX D. GLOSSARY

Bibliographic resource. A manifestation of a work that forms the basis for bibliographic description. A bibliographic resource may be in any medium or combination of media and may be tangible or intangible.

Rationale: This definition was approved by the JSC but has been slightly altered (the last words) per ISBD(S) recommendation. Note that the word 'manifestation,' while used in the code, is not defined.

Continuation. 1. A supplement (q.v.). 2. A part issued in continuance of a monograph, a serial or a series.

Note to JSC: The only reference to this word in the code is in 12.7B7b. Do we need to retain the definition? It may be confusing with "continuing resource." The second definition of "a part" is also somewhat confusing when thought about in terms of a title change.

Continuing resource. A bibliographic resource that is issued over time, usually with no predetermined conclusion. Continuing resources include serials and integrating resources.

Rationale: The JSC rejected the addition of "Continuing" to the glossary, but the ISBD(S) Working Group has approved the term "Continuing resource." Since this is not a common dictionary term, it is being included here for reconsideration by the JSC.

Integrating resource. A bibliographic resource that is added to or changed by means of updates that do not remain discrete and are integrated into the whole. Examples include items that are loose-leaf for updating and Web sites.

Key title. The unique name assigned to a serial continuing resource by centers of the International Serials Data System (ISDS) ISSN Network.

Rationale: The International Serials Data System became the ISSN Network some time ago and needs updating. They are also going to be expanding their coverage to at least some integrating resources, if not all.

Monograph. ~~A non-serial item (i.e., an item either complete in one part or complete, or intended to be completed, in a finite number of separate parts).~~ A bibliographic resource that is complete or intended to be completed within a finite number of parts or within a fixed period of time.

Multipart item. A monograph complete, or intended to be completed, in a finite number of separate parts. The separate parts may or may not be numbered.

Rationale: This term has been appended in order to include unnumbered multi-parts.

Serial. ~~A publication in any medium issued in a successive parts bearing numeric or chronological designations and intended to be continued indefinitely. Serials include periodicals; newspapers; annuals (report, yearbooks, etc.); the journals, memoirs, proceedings, transactions, etc. of societies; and numbered monographic series.~~ A continuing resource in any medium issued in a succession of discrete parts, usually bearing numeric or chronological designations, that usually has no predetermined conclusion. Examples of serials include journals, magazines, electronic journals, directories, annual reports, newspapers, newsletters of an event, and monographic series.

Rationale: This is a revised definition of that approved by the JSC that reflects changes made by the ISBD(S) Working Group. The changes are: 1) a “continuing” resource rather than “bibliographic” ; 2) the inclusion of “in any medium”; 3) the inclusion of the word “usually” before “has no predetermined conclusion”; and 4) the addition of “directories” and “newsletters of an event” to the list of examples. There was general agreement at the ISBD(S) meeting at ALA that this was an improved definition that should be submitted to JSC at this point.

6. Multipart Items: Adding Information to AACR2

CHANGES IN DESCRIPTION

There are three possible strategies when considering the addition to AACR2 of information for recording changes in description for multipart items.

Strategy 1. Do nothing until Part I of AACR is reordered according to areas of description. At that point, very little will probably have to be done especially for multipart items since the provisions being added now in chapter 12 will generally apply to multipart items. When Part I is reordered, the provisions for handling changes in description will need to be evaluated carefully to ensure that all multipart situations are covered.

Pros: Not as many changes occur for multipart items as for continuing resources since most multipart items are published over a relatively short period; catalogers have coped without having explicit information and could continue to do so for the time being.

Cons: Multipart items have always been the neglected category and deserve attention (in the one place in Part I where there is currently an acknowledgement of changes being possible — last sentence of 1.4D5 — guidance on noting the change is lacking); since the proposed revision of 1.4F7 mentions multipart items, there will be a “disconnect” in chapter 1 since two of the subrules will indicate application to multipart items and others will not.

Strategy 2. Add a general statement at the beginning of chapter 1 or in 0.25 to consult chapter 12 for information about changes in descriptive elements for multipart items until Part I is reordered. The statement could direct catalogers to look for analogous situations in chapter 12 and proceed accordingly.

Pros: Simple solution for now.

Cons: Continuation of “neglect” of multipart items; using chapter 12 may be confusing for some monograph catalogers; referring to chapter 12 may raise the concern about blurring the line between finite and continuing resources.

Strategy 3. Put specific information about changes for multipart items in Part I: either in chapter 1 or in all the monograph chapters.

Pros: Multipart items would get the attention they deserve; no need to send monograph catalogers to chapter 12 or “blur” the line between finite and continuing resources.

Cons: Too much revision for many chapters if reordering of Part I is in the near future; adding specifics about changes in description of multipart items to all areas of chapter 1 would require either of the following actions: adding specifics about changes in continuing resources also in chapter 1 or adding a general statement at beginning of chapter 1 to see chapter 12 for changes in description for continuing resources.

Recommendation: Adopt Strategy 1.

UNNUMBERED MULTIPART ITEMS

AACR2 does not specifically address unnumbered multipart items in either Part I or in chapter 21.

Part I

Analytic records: The proposed revision of 1.6G1 to acknowledge absence of numbering in the series statement is the only change needed for bibliographic records for parts of a multipart item.

Collective record for the multipart item itself:

- ✓ Add a statement at the beginning of chapter 1 (probably before 1.0A) or at the beginning of all the monograph chapters noting the possibility of a collective record for an unnumbered multipart item (that acknowledgement for an unnumbered monographic series is in the proposed revision of 12.0A1). [If the addition were made to chapter 1, it should be “enlarged” to refer to both unnumbered multipart items (see appropriate chapter) and to unnumbered monographic series (see chapter 12).]
- ✓ Revise 1.7B18 to say to supply numbering when it is not present. (This provision would also be applied whenever a part of an otherwise numbered multipart item lacks numbering.)

Chapter 21

The provisions in chapter 21 relating to main and added entries are also applied in the context of headings and references by those libraries creating authority records for multipart items (as well as for monographic series). Although it is proposed (see above) that Part I acknowledge the possibility of collective bibliographic records for both unnumbered multipart items and unnumbered series, the most common decision is that libraries classify them separately.

The current LC/NACO practice is to consider that 21.2B2 and 21.3A applies only to numbered multipart items since AACR2 does not acknowledge the possibility of collective records (bibliographic or authority) for unnumbered multipart items. The Library of Congress is willing to change the LC/NACO practice to apply the principles in 21.2B2 and 21.3A to both numbered and unnumbered multipart items: only one authority record would exist for either a numbered or an unnumbered multipart item. The wording of 21.2B2 and 21.3A could then remain as it is or be modified to insert “numbered or unnumbered.”

Recommendations:

1. Since rule 21.30L does not mandate an access point for multipart items and monographic series and since not all libraries are interested in controlled access for multipart items and monographic series, adding information to chapter 21 about the number of authority records for unnumbered multipart items and unnumbered monographic series would not be the most appropriate action.
2. Since revisions of 21.2 and 21.3 will be part of the new appendix dealing with major/minor changes and other situations when a new description is required, include in this new appendix not only the clarification that the principles apply to “unnumbereds” but also acknowledge that the principles for determining when a new description is required also apply when determining when a new authority record is required. (The Library of Congress is willing to work with the American Library Association on this aspect of the appendix.)